

AVANTI SECURITIES CORPORATION

Statement of Financial Condition

December 31, 2016

Assets

Cash	\$	34,291
Prepaid expenses		7,378
Dividend receivable		96
Other		100
Securities owned, at fair value		20,136
Total assets	\$	<u>62,001</u>

Liabilities and Stockholder's Equity

Stockholder's equity:

Common stock, \$0.10 par value. Authorized 1,000,000 shares; 10,000 issued and 5,000 outstanding shares	1,000
Additional paid-in capital	108,809
Accumulated deficit	(47,808)
Treasury stock, 5,000 common shares, at cost	<u>—</u>

Total stockholder's equity	<u>62,001</u>
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Total liabilities and stockholder's equity	\$ <u>62,001</u>
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See accompanying notes to financial statements.