

AVANTI SECURITIES CORPORATION

Statement of Financial Condition

December 31, 2015

Assets

Cash	\$	32,091
Prepaid expenses		7,872
Dividend receivable		75
Other		174
Securities owned, at fair value		17,451
Total assets	\$	<u>57,663</u>

Liabilities and Stockholder's Equity

Liabilities:

Accounts payable and accrued expenses	\$	<u>1,786</u>
Total liabilities		<u>1,786</u>

Stockholder's equity:

Common stock, \$0.10 par value. Authorized 1,000,000 shares; 10,000 issued and 5,000 outstanding shares		1,000
Additional paid-in capital		108,809
Accumulated deficit		(53,932)
Treasury stock, 5,000 common shares, at cost		<u>—</u>
Total stockholder's equity		<u>55,877</u>
Total liabilities and stockholder's equity	\$	<u>57,663</u>

See accompanying notes to financial statements.