

**Archipelago Trading Services, Inc.**  
**Statement of Financial Condition**  
**December 31, 2015**

---

*(\$ in thousands, except share data)*

**Assets**

Cash and cash equivalents	\$ 5,471
Receivables from brokers, dealers, and subscribers (net of allowance for doubtful accounts of \$0)	450
Receivables from related parties	145
Other assets	18
Income tax receivable	14
Deferred tax asset	496
Total assets	<u>\$ 6,594</u>

**Liabilities and Stockholder's Equity**

**Liabilities**

Payables to related parties	\$ 512
Other payables	325
Income tax payable	72
Total liabilities	<u>909</u>

**Commitments and contingencies (Note 5)**

**Stockholder's equity**

Common stock, \$1 par; 7,500 shares authorized; 5,000 shares issued and outstanding	5
Additional paid-in capital	3,748
Retained earnings	1,932
Total stockholder's equity	<u>5,685</u>
Total liabilities and stockholder's equity	<u>\$ 6,594</u>

The accompanying notes are an integral part of these financial statements.