

Full Legal Name	DE/FE/I	Title or Status	Date Acquired	Own. Code	Control Person	PR	CRD #(or S.S.No., IRS Tax #, Emp. ID)
<del>KAPPOTIS,</del> <del>ERNEST D</del> <del>GEORGE,</del> <del>KENNETH</del> <del>RONALD</del>	I	PRINCIPAL FINANCIAL OFFICER AND PRINCIPAL OPERATIONS OFFICER	<del>10/2018</del> <u>03/2021</u>	NA	N	N	<del>4871251</del> <u>2643369</u>