

CIBC World Markets Corp.  
Statement of Financial Condition  
October 31, 2020  
(000's Omitted, Except for Share and Par Value Information)

<b>Assets</b>	<b>Total</b>
Cash and cash equivalents	\$ 27,971
Securities purchased under resale agreements	1,000,893
Securities borrowed	1,536,132
Receivable from broker-dealers and clearing organizations	318,237
Receivable from customers	69,549
Trading assets, at fair value, including \$124,958 securities segregated in accordance with Rule 15c3-3	308,368
Goodwill	28,107
Intangible assets	43
Due from affiliates – income taxes	85,080
Due from affiliates – service fees	23,852
Securities received as collateral, at fair value	684
Other assets	66,434
<b>Total assets</b>	<b>\$ 3,465,350</b>
<b>Liabilities and shareholder's equity</b>	
<b>Liabilities:</b>	<b>Total</b>
Short-term note payable	\$ 488,385
Securities sold under repurchase agreements	253,486
Securities loaned	748,925
Payable to broker-dealers and clearing organizations	87,836
Payable to customers	62,777
Securities sold, not yet purchased, at fair value	202,909
Accrued employee compensation and benefits	73,342
Due to affiliates – service fees	6,038
Obligation to return securities received as collateral, at fair value	684
Other liabilities and accrued expenses	11,323
	1,935,705
<b>Shareholder's equity:</b>	
Common stock, par value \$0.25 per share; 6,800,000 shares authorized; 6,758,298 shares issued and outstanding	1,690
Additional paid-in capital	921,171
Retained earnings	606,784
	1,529,645
<b>Total liabilities and shareholder's equity</b>	<b>\$ 3,465,350</b>

See notes to Statement of Financial Condition