



Jody Russell
Compliance Officer
Registration

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Charlotte, NC 28262
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October 27, 2021

U.S. Securities and Exchange Commission
100 F Street, NE
Washington, DC 20549

RE: Wells Fargo Bank, N.A.
SBSE-A Security-Based Swap Dealer Application

Because of system limitations in the EDGAR version of Form SBSE-A, Wells Fargo Bank, N.A. ("WFBNA") is submitting the attached document in PDF. This document supplements WFBNA's electronic version of Form SBSE-A, relates to Item 17, and contains WFBNA's responses to Section II of Schedule F (Registration with Foreign Financial Regulatory Authorities).

Wells Fargo Bank, N.A.

UIC: KB1H1DSPRFMYMCUFXT09
CIK: 0000740906
NFA ID: 399337

Schedule F of FORM SBSE-A	Applicant Name: <u>Wells Fargo Bank National Association</u> Date: _____ Applicant NFA No.: <u>399337</u>	Official Use	
Section I Service of Process and Certification Regarding Access to Records			
Each nonresident security-based swap dealer and non-resident security-based swap participant shall use Schedule F to identify its United States agent for service of process and the certify that it can as a matter of law, and will - <ol style="list-style-type: none"> (1) provide the Commission with prompt access to its books and records, and (2) submit to onsite inspection and examination by the Commission. 			
1. Service of Process: <ol style="list-style-type: none"> A. Name of United States person <i>applicant</i> designates and appoints as agent for service of process <div style="border: 1px solid black; height: 20px; width: 100%; margin-top: 5px;"></div> B. Address of United States person <i>applicant</i> designates and appoints as agent for service of process <div style="border: 1px solid black; height: 20px; width: 100%; margin-top: 5px;"></div> <p>The above identified agent for service of process may be served any process, pleadings, subpoenas, or other papers in</p> <p>(a) any investigation or administrative proceeding conducted by the Commission that relates to the <i>applicant</i> or about which the <i>applicant</i> may have information; and</p> <p>(b) any civil or criminal suit or action or proceeding brought against the <i>applicant</i> or to which the <i>applicant</i> has been joined as defendant or respondent, in any appropriate court in any place subject to the jurisdiction of any state or of the United States or of any of its territories or possessions or of the District of Columbia, to enforce the Exchange Act. The <i>applicant</i> has stipulated and agreed that any such suit, action or administrative proceeding may be commenced by the service of process upon, and that service of an administrative subpoena shall be effected by service upon the above-named Agent for Service of Process, and that service as aforesaid shall be taken and held in all courts and administrative tribunals to be valid and binding as if personal service thereof had been made.</p>			
2. Certification regarding access to records: <p><i>Applicant</i> can as a matter of law, and will;</p> <ol style="list-style-type: none"> (1) provide the Commission with prompt access to its books and records, and (2) submit to onsite inspection and examination by the Commission. <p><i>Applicant must attach to this Form SBSE a copy of the opinion of counsel it is required to obtain in accordance with paragraph (c)(2) or (c)(3) of Exchange Act Rule 15Fb2-4, as appropriate [paragraphs (c)(2) or (c)(3) of 17 CFR 240.15Fb2-4].</i></p> <p>Signature: _____</p> <p>Name and Title: _____</p> <p>Date: _____</p>			
Section II Registration with Foreign Financial Regulatory Authorities			
Complete this Section for Registration with Foreign Financial Regulatory Authorities relating to ITEM 17. Each security-based swap dealer and major security-based swap participant that is registered with a foreign financial regulatory authority must list on Section II of this Schedule F, for each foreign financial regulatory authority with which it is registered, the following information:			
1	Central Bank of Brazil	43.820.471.000.159	Brazil
	• English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)	English Name of Country:
2	Office of the Superintendent of Financial Institutions	82834 9084RC0001	Canada
	• English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)	English Name of Country:
3	Cayman Islands Monetary Authority	100062	Cayman Islands
	• English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)	English Name of Country:
If applicant has more than 3 Foreign Financial Regulatory Authorities to report, complete additional Schedule F Page 1s.			

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Signature: _____ <div style="border: 1px solid black; padding: 2px; width: 100%; margin-top: 5px;">Name and Title:</div>			
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1	Colombian Financial Superintendence	20-101	Colombia
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)	English Name of Country:
2	Commission for the Financial Markets	590341-180-0	Chile
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)	English Name of Country:
3	Central Bank of Argentina	30364	Argentina
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)	English Name of Country:
If applicant has more than 3 Foreign Financial Regulatory Authorities to report, complete additional Schedule F Page 1s.			

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1	Financial Conduct Authority <small>English Name of Foreign Financial Regulatory Authority</small>	<div style="display: flex; justify-content: space-between;"> 456183 United Kingdom </div> <small>Foreign Registration No. (if any)</small> <small>English Name of Country:</small>
2	Prudential Regulation Authority <small>English Name of Foreign Financial Regulatory Authority</small>	<div style="display: flex; justify-content: space-between;"> 456183 United Kingdom </div> <small>Foreign Registration No. (if any)</small> <small>English Name of Country:</small>
3	Dubai Financial Services Authority <small>English Name of Foreign Financial Regulatory Authority</small>	<div style="display: flex; justify-content: space-between;"> F001853 United Arab Emirates </div> <small>Foreign Registration No. (if any)</small> <small>English Name of Country:</small>
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1	Australian Prudential Regulation Authority <small>English Name of Foreign Financial Regulatory Authority</small>	Australia <small>Foreign Registration No. (if any)</small> <small>English Name of Country:</small>
2	China Banking and Insurance Regulatory Commission <small>English Name of Foreign Financial Regulatory Authority</small>	"B1082B211000001" <small>Foreign Registration No. (if any)</small> China <small>English Name of Country:</small>
3	People's Bank of China <small>English Name of Foreign Financial Regulatory Authority</small>	"B1082B211000001" <small>Foreign Registration No. (if any)</small> China <small>English Name of Country:</small>
If applicant has more than 3 Foreign Financial Regulatory Authorities to report, complete additional Schedule F Page 1s.		

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1	State Administration of Foreign Exchange	"B1082B211000001" China <small>English Name of Foreign Financial Regulatory Authority Foreign Registration No. (if any) English Name of Country:</small>
2	Hong Kong Monetary Authority	B245 China <small>English Name of Foreign Financial Regulatory Authority Foreign Registration No. (if any) English Name of Country:</small>
3	Hong Kong Deposit Protection Board	_____ China <small>English Name of Foreign Financial Regulatory Authority Foreign Registration No. (if any) English Name of Country:</small>
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1	Reserve Bank of India	India
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any) English Name of Country:
2	Financial Services Agency	Japan
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any) English Name of Country:
3	Ministry of Finance	Singapore
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any) English Name of Country:
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1	Monetary Authority of Singapore	<div style="display: flex; justify-content: space-between;"> A9265 Singapore </div>
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any) English Name of Country:
2	Financial Services Commission	<div style="display: flex; justify-content: space-between;"> Korea </div>
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any) English Name of Country:
3	Financial Supervisory Service	<div style="display: flex; justify-content: space-between;"> South Korea </div>
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any) English Name of Country:
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1	Ministry of Economy and Finance	Korea
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any) English Name of Country:
2	Bank of Korea	Korea
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any) English Name of Country:
3	Taiwan Financial Supervisory Commission	Taiwan
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any) English Name of Country:
If applicant has more than 3 Foreign Financial Regulatory Authorities to report, complete additional Schedule F Page 1s.		

Schedule F of FORM SBSE-A	Applicant Name: <u>Wells Fargo Bank National Association</u> Date: _____ Applicant NFA No.: <u>399337</u>	Official Use
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Section I	Service of Process and Certification Regarding Access to Records
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Each nonresident security-based swap dealer and non-resident security-based swap participant shall use Schedule F to identify its United States agent for service of process and the certify that it can as a matter of law, and will -

- (1) provide the Commission with prompt access to its books and records, and
- (2) submit to onsite inspection and examination by the Commission.

1. Service of Process:

A. Name of United States person *applicant* designates and appoints as agent for service of process

B. Address of United States person *applicant* designates and appoints as agent for service of process

The above identified agent for service of process may be served any process, pleadings, subpoenas, or other papers in

- (a) any investigation or administrative proceeding conducted by the Commission that relates to the *applicant* or about which the *applicant* may have information; and
- (b) any civil or criminal suit or action or proceeding brought against the *applicant* or to which the *applicant* has been joined as defendant or respondent, in any appropriate court in any place subject to the jurisdiction of any state or of the United States or of any of its territories or possessions or of the District of Columbia, to enforce the Exchange Act. The *applicant* has stipulated and agreed that any such suit, action or administrative proceeding may be commenced by the service of process upon, and that service of an administrative subpoena shall be effected by service upon the above-named Agent for Service of Process, and that service as aforesaid shall be taken and held in all courts and administrative tribunals to be valid and binding as if personal service thereof had been made.

2. Certification regarding access to records:

Applicant can as a matter of law, and will;

- (1) provide the Commission with prompt access to its books and records, and
- (2) submit to onsite inspection and examination by the Commission.

Applicant must attach to this Form SBSE a copy of the opinion of counsel it is required to obtain in accordance with paragraph (c)(2) or (c)(3) of Exchange Act Rule 15Fb2-4, as appropriate [paragraphs (c)(2) or (c)(3) of 17 CFR 240.15Fb2-4].

Signature: _____

Name and Title: _____

Date: _____

Section II	Registration with Foreign Financial Regulatory Authorities
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Complete this Section for Registration with Foreign Financial Regulatory Authorities relating to ITEM 17. Each security-based swap dealer and major security-based swap participant that is registered with a foreign financial regulatory authority must list on Section II of this Schedule F, for each foreign financial regulatory authority with which it is registered, the following information:

1 <u>State Bank of Vietnam</u> • English Name of Foreign Financial Regulatory Authority	<u>01/GP-NHNN</u> Foreign Registration No. (if any)	<u>Vietnam</u> English Name of Country:
2 _____ • English Name of Foreign Financial Regulatory Authority	_____ Foreign Registration No. (if any)	_____ English Name of Country:
3 _____ • English Name of Foreign Financial Regulatory Authority	_____ Foreign Registration No. (if any)	_____ English Name of Country:

If applicant has more than 3 Foreign Financial Regulatory Authorities to report, complete additional Schedule F Page 1s.