



Jody Russell
Compliance Officer
Registration

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October 27, 2021

U.S. Securities and Exchange Commission
100 F Street, NE
Washington, DC 20549

RE: Wells Fargo Bank, N.A.
SBSE-A Security-Based Swap Dealer Application

Because of system limitations in the EDGAR version of Form SBSE-A, Wells Fargo Bank, N.A. ("WFBNA") is submitting the attached document in PDF. This document supplements WFBNA's electronic version of Form SBSE-A, relates to Item 17, and contains WFBNA's responses to Section II of Schedule F (Registration with Foreign Financial Regulatory Authorities).

Wells Fargo Bank, N.A.

UIC: KB1H1DSPRFMYMCUFXT09
CIK: 0000740906
NFA ID: 399337

Schedule F of FORM SBSE-A	Applicant Name: <u>Wells Fargo Bank National Association</u> Date: _____ Applicant NFA No.: <u>399337</u>	Official Use
Section I Service of Process and Certification Regarding Access to Records		
Each nonresident security-based swap dealer and non-resident security-based swap participant shall use Schedule F to identify its United States agent for service of process and the certify that it can as a matter of law, and will - <ol style="list-style-type: none"> (1) provide the Commission with prompt access to its books and records, and (2) submit to onsite inspection and examination by the Commission. 		
1. Service of Process: A. Name of United States person <i>applicant</i> designates and appoints as agent for service of process <div style="border: 1px solid black; height: 20px; width: 100%; margin-top: 5px;"></div> B. Address of United States person <i>applicant</i> designates and appoints as agent for service of process <div style="border: 1px solid black; height: 20px; width: 100%; margin-top: 5px;"></div> <p>The above identified agent for service of process may be served any process, pleadings, subpoenas, or other papers in</p> <p>(a) any investigation or administrative proceeding conducted by the Commission that relates to the <i>applicant</i> or about which the <i>applicant</i> may have information; and</p> <p>(b) any civil or criminal suit or action or proceeding brought against the <i>applicant</i> or to which the <i>applicant</i> has been joined as defendant or respondent, in any appropriate court in any place subject to the jurisdiction of any state or of the United States or of any of its territories or possessions or of the District of Columbia, to enforce the Exchange Act. The <i>applicant</i> has stipulated and agreed that any such suit, action or administrative proceeding may be commenced by the service of process upon, and that service of an administrative subpoena shall be effected by service upon the above-named Agent for Service of Process, and that service as aforesaid shall be taken and held in all courts and administrative tribunals to be valid and binding as if personal service thereof had been made.</p>		
2. Certification regarding access to records: <i>Applicant</i> can as a matter of law, and will; <ol style="list-style-type: none"> (1) provide the Commission with prompt access to its books and records, and (2) submit to onsite inspection and examination by the Commission. <p><i>Applicant must attach to this Form SBSE a copy of the opinion of counsel it is required to obtain in accordance with paragraph (c)(2) or (c)(3) of Exchange Act Rule 15Fb2-4, as appropriate [paragraphs (c)(2) or (c)(3) of 17 CFR 240.15Fb2-4].</i></p> <p>Signature: _____</p> <div style="border: 1px solid black; padding: 2px;">Name and Title: _____</div> <p>Date: _____</p>		
Section II Registration with Foreign Financial Regulatory Authorities		
Complete this Section for Registration with Foreign Financial Regulatory Authorities relating to ITEM 17. Each security-based swap dealer and major security-based swap participant that is registered with a foreign financial regulatory authority must list on Section II of this Schedule F, for each foreign financial regulatory authority with which it is registered, the following information:		
1	<u>Central Bank of Brazil</u>	<u>43.820.471.000.159</u>
	• English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)
2	<u>Office of the Superintendent of Financial Institutions</u>	<u>82834 9084RC0001</u>
	• English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)
3	<u>Cayman Islands Monetary Authority</u>	<u>100062</u>
	• English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)
		English Name of Country:
		<u>Brazil</u>
		<u>Canada</u>
		<u>Cayman Islands</u>
If applicant has more than 3 Foreign Financial Regulatory Authorities to report, complete additional Schedule F Page 1s.		

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1 Colombian Financial Superintendence <small>English Name of Foreign Financial Regulatory Authority</small>	<u>20-101</u> <small>Foreign Registration No. (if any)</small>	<u>Colombia</u> <small>English Name of Country:</small>
2 Commission for the Financial Markets <small>English Name of Foreign Financial Regulatory Authority</small>	<u>590341-180-0</u> <small>Foreign Registration No. (if any)</small>	<u>Chile</u> <small>English Name of Country:</small>
3 Central Bank of Argentina <small>English Name of Foreign Financial Regulatory Authority</small>	<u>30364</u> <small>Foreign Registration No. (if any)</small>	<u>Argentina</u> <small>English Name of Country:</small>
If applicant has more than 3 Foreign Financial Regulatory Authorities to report, complete additional Schedule F Page 1s.		

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Complete this Section for Registration with Foreign Financial Regulatory Authorities relating to ITEM 17. Each security-based swap dealer and major security-based swap participant that is registered with a foreign financial regulatory authority must list on Section II of this Schedule F, for each foreign financial regulatory authority with which it is registered, the following information:			
1	Financial Conduct Authority	456183	United Kingdom
·	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)	English Name of Country:
2	Prudential Regulation Authority	456183	United Kingdom
·	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)	English Name of Country:
3	Dubai Financial Services Authority	F001853	United Arab Emirates
·	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)	English Name of Country:
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1	Australian Prudential Regulation Authority	Australia
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any) English Name of Country:
2	China Banking and Insurance Regulatory Commission	"B1082B211000001" China
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any) English Name of Country:
3	People's Bank of China	"B1082B211000001" China
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any) English Name of Country:
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1	State Administration of Foreign Exchange	"B1082B211000001" China <small>English Name of Foreign Financial Regulatory Authority Foreign Registration No. (if any) English Name of Country:</small>
2	Hong Kong Monetary Authority	B245 China <small>English Name of Foreign Financial Regulatory Authority Foreign Registration No. (if any) English Name of Country:</small>
3	Hong Kong Deposit Protection Board	_____ China <small>English Name of Foreign Financial Regulatory Authority Foreign Registration No. (if any) English Name of Country:</small>
If applicant has more than 3 Foreign Financial Regulatory Authorities to report, complete additional Schedule F Page 1s.		

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1. Service of Process:

A. Name of United States person *applicant* designates and appoints as agent for service of process

B. Address of United States person *applicant* designates and appoints as agent for service of process

The above identified agent for service of process may be served any process, pleadings, subpoenas, or other papers in

- (a) any investigation or administrative proceeding conducted by the Commission that relates to the *applicant* or about which the *applicant* may have information; and
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2. Certification regarding access to records:

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1	Reserve Bank of India	India	
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)	English Name of Country:
2	Financial Services Agency	1740	Japan
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)	English Name of Country:
3	Ministry of Finance	1740	Singapore
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)	English Name of Country:

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1 Monetary Authority of Singapore	A9265	Singapore
English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)	English Name of Country:
2 Financial Services Commission		Korea
English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)	English Name of Country:
3 Financial Supervisory Service		South Korea
English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)	English Name of Country:

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1	Ministry of Economy and Finance		Korea
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)	English Name of Country:
2	Bank of Korea		Korea
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)	English Name of Country:
3	Taiwan Financial Supervisory Commission		Taiwan
	English Name of Foreign Financial Regulatory Authority	Foreign Registration No. (if any)	English Name of Country:

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- (1) provide the Commission with prompt access to its books and records, and
- (2) submit to onsite inspection and examination by the Commission.

Applicant must attach to this Form SBSE a copy of the opinion of counsel it is required to obtain in accordance with paragraph (c)(2) or (c)(3) of Exchange Act Rule 15Fb2-4, as appropriate [paragraphs (c)(2) or (c)(3) of 17 CFR 240.15Fb2-4].

Signature: _____

Name and Title: _____

Date: _____

Section II	Registration with Foreign Financial Regulatory Authorities
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Complete this Section for Registration with Foreign Financial Regulatory Authorities relating to ITEM 17. Each security-based swap dealer and major security-based swap participant that is registered with a foreign financial regulatory authority must list on Section II of this Schedule F, for each foreign financial regulatory authority with which it is registered, the following information:

1 <u>State Bank of Vietnam</u> • English Name of Foreign Financial Regulatory Authority	<u>01/GP-NHNN</u> Foreign Registration No. (if any)	<u>Vietnam</u> English Name of Country:
2 _____ • English Name of Foreign Financial Regulatory Authority	_____ Foreign Registration No. (if any)	_____ English Name of Country:
3 _____ • English Name of Foreign Financial Regulatory Authority	_____ Foreign Registration No. (if any)	_____ English Name of Country:

If applicant has more than 3 Foreign Financial Regulatory Authorities to report, complete additional Schedule F Page 1s.