

**Wunderlich Securities, Inc.**  
**(A wholly owned subsidiary of Wunderlich Investment Company, Inc.)**

**Statement of Financial Condition**

	<u><b>June 30, 2016</b></u>
<b>Assets</b>	
Cash	\$ 13,189,247
Securities owned, at fair value	5,307,079
Receivable from clearing organizations	39,658,396
Prepaid expenses	796,851
Forgivable loans, net of allowance for doubtful accounts of \$243,605	8,477,841
Property and equipment, net of accumulated depreciation	2,722,226
Intangible assets, net of accumulated amortization	1,721,666
Underwriting and syndicate receivables	1,527,581
Receivable from affiliates	132,348
Other assets	<u>3,550,402</u>
	<u><u>\$ 77,083,637</u></u>
<b>Liabilities and Stockholder's Equity</b>	
<b>Liabilities:</b>	
Accounts payable and accrued expenses	\$ 9,210,266
Accrued compensation	11,019,364
Payable to affiliates	2,266,086
Securities sold, not yet purchased, at fair value	19,616,001
Liabilities subordinated to claims of general creditors	<u>12,271,429</u>
	<u><u>\$ 54,383,146</u></u>
<b>Stockholder's equity:</b>	
Total stockholder's equity	<u>22,700,491</u>
<b>Total stockholder's equity</b>	<u><u>22,700,491</u></u>
<b>Total liabilities and stockholder's equity</b>	<u><u>\$ 77,083,637</u></u>

*See Notes to Statement of Financial Condition.*