

ALLIANCEBERNSTEIN INVESTMENTS, INC.
(an indirect wholly-owned subsidiary of
AllianceBernstein L.P.)

Statement of Financial Condition

December 31, 2017

(dollars in thousands)

ASSETS

Cash and cash equivalents.....	\$	41,274
Receivables:		
Fees from AB Mutual Funds.....		9,855
Due from Parent.....		16,435
Due from affiliates		333
Deferred sales commissions, net		29,703
Deferred taxes		4,451
Other assets		<u>974</u>
 Total assets.....	 \$	 <u>103,025</u>

LIABILITIES AND STOCKHOLDER'S EQUITY

Liabilities:

Payables:

Brokers and dealers.....	\$	14,035
Accounts payable and accrued expenses		17,419
Due to affiliates		<u>3,208</u>
		<u>249,682</u>

Commitments and contingencies (*See Note 5*)

Stockholder's equity:

Common stock, par value \$.10 per share;

1,000 shares authorized, 100 shares issued and outstanding

Additional paid-in capital..... 67,578

Retained earnings..... 785

Total stockholder's equity 68,363

Total liabilities and stockholder's equity \$ 103,025

The accompanying notes are an integral part of this financial statement.