

UNIONBANC INVESTMENT SERVICES, LLC

STATEMENT OF FINANCIAL CONDITION

AS OF DECEMBER 31, 2020

ASSETS

Cash and cash equivalents	\$ 706,454
Financial instruments owned — At Fair Value	118,494,228
Deposits with clearing organization	11,672,292
Fees and commissions receivable	3,142,886
Deferred tax asset	1,250,124
Receivable from clearing organization — Net	519,801
Other assets	<u>886,949</u>
TOTAL	<u><u>136,672,734</u></u>

LIABILITIES AND MEMBER'S EQUITY

Accounts payable, accrued expense and other liabilities	\$ 2,081,923
Due to Parent — Net	<u>2,853,966</u>
Total liabilities	4,935,889
Member's equity	<u>131,736,845</u>
TOTAL	<u><u>136,672,734</u></u>

See accompanying notes to financial statements.