

UNIONBANC INVESTMENT SERVICES, LLC
(A wholly owned subsidiary of MUFG Union Bank, N.A.)

STATEMENT OF FINANCIAL CONDITION
AS OF DECEMBER 31, 2016

ASSETS

CASH AND CASH EQUIVALENTS	\$ 1,751,634
FINANCIAL INSTRUMENTS — At fair value	121,173,192
RECEIVABLE FROM CLEARING ORGANIZATION — Net	14,623,617
FEES AND COMMISSIONS RECEIVABLE	1,753,075
DUE FROM PARENT - Net	805,888
OTHER ASSETS	<u>1,002,554</u>
TOTAL	<u>\$ 141,109,960</u>

LIABILITIES AND MEMBER'S EQUITY

ACCOUNTS PAYABLE, ACCRUED EXPENSE AND OTHER LIABILITIES	\$ 4,163,155
MEMBER'S EQUITY	<u>136,946,805</u>
TOTAL	<u>\$ 141,109,960</u>

See accompanying notes to financial statements.