

## **UNIONBANC INVESTMENT SERVICES, LLC**

### **STATEMENT OF FINANCIAL CONDITION AS OF DECEMBER 31, 2015**

---

#### **ASSETS**

CASH AND CASH EQUIVALENTS	\$ 31,292,387
MARKETABLE SECURITIES OWNED — At fair value	109,327,027
RECEIVABLE FROM CLEARING ORGANIZATION — Net	13,323,782
FEES AND COMMISSIONS RECEIVABLE	1,838,135
DUE FROM AFFILIATE	11,585
DUE FROM PARENT - Net	116,126
FURNITURE, EQUIPMENT, AND LEASEHOLD IMPROVEMENTS — Net	204,400
ACCRUED INTEREST RECEIVABLE	25,202
OTHER ASSETS	<u>815,829</u>
TOTAL	<u>\$ 156,954,473</u>

#### **LIABILITIES AND MEMBER'S EQUITY**

##### **LIABILITIES:**

Accounts payable, accrued expenses and other liabilities	\$ 4,220,844
Loan from Parent	<u>10,000,000</u>
Total liabilities	14,220,844

MEMBER'S EQUITY	<u>142,733,629</u>
-----------------	--------------------

TOTAL	<u>\$ 156,954,473</u>
-------	-----------------------

See accompanying notes to financial statements.