

NYLIFE Securities LLC**(An affiliate of New York Life Insurance Company)****Statement of Financial Condition****December 31, 2015****(Confidential)****Assets**

Cash and cash equivalents	\$ 24,996,707
Receivable from NYLIFE Distributors LLC	1,989,706
Commissions receivable	5,212,796
Fee income receivable	391,474
Other receivables	240,178
Prepaid expenses and other assets	1,745,570
Deferred tax asset	726,257

Total assets**\$ 35,302,688****Liabilities and Member's Equity**

Payable to New York Life Insurance Company	\$ 3,288,076
Payable to Eagle Strategies LLC	229,944
Accrued commission expense	4,765,705
Accrued litigation expense	467,589
Federal income taxes payable to New York Life Insurance Company	1,840,692
Securities sold not yet purchased	176,594
Other accrued liabilities	11,746

Total liabilities**10,760,346**

Commitments and contingencies (See Note 11)

Total member's equity**24,542,342****Total liabilities and member's equity****\$ 35,302,688**

The accompanying notes are an integral part of these financial statements.