

**NYLIFE Securities LLC****(An affiliate of New York Life Insurance Company)****Statement of Financial Condition****December 31, 2015**

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**Assets**

|   |                             |
|---|-----------------------------|
| Cash and cash equivalents               | \$24,996,707                |
| Receivable from NYLIFE Distributors LLC | 1,989,706                   |
| Commissions receivable                  | 5,212,796                   |
| Fee income receivable                   | 391,474                     |
| Other receivables                       | 240,178                     |
| Prepaid expenses and other assets       | 1,745,570                   |
| Deferred tax asset                      | 726,257                     |
| <b>Total assets</b>                     | <b><u>\$ 35,302,688</u></b> |

**Liabilities and Member's Equity**

|   |                             |
|---|-----------------------------|
| Payable to New York Life Insurance Company                      | \$ 3,268,076                |
| Payable to Eagle Strategies LLC                                 | 229,944                     |
| Accrued commission expense                                      | 4,765,705                   |
| Accrued litigation expense                                      | 467,589                     |
| Federal income taxes payable to New York Life Insurance Company | 1,840,692                   |
| Securities sold not yet purchased                               | 176,594                     |
| Other accrued liabilities                                       | 11,746                      |
| <b>Total liabilities</b>  | <b><u>10,760,346</u></b>    |
| Commitments and contingencies (See Note 11)                     |                             |
| Total member's equity   | <u>24,542,342</u>           |
| <b>Total liabilities and member's equity</b>                    | <b><u>\$ 35,302,688</u></b> |

The accompanying notes are an integral part of this financial statement.