

WADDELL & REED, INC. AND SUBSIDIARIES
(An Indirect Wholly Owned Subsidiary of Waddell & Reed Financial, Inc.)

Consolidated Balance Sheet

December 31, 2020

(In thousands)

Assets

Cash and cash equivalents	\$ 67,226
Cash and cash equivalents – restricted	63,594
Investment securities	56,867
Receivables:	
Funds and separate accounts	9,882
Customers and other	58,501
Due from affiliates	17,312
Prepaid expenses and other current assets	16,537
Income taxes receivable	1,980
Total current assets	291,899
Property and equipment, net	19,577
Deferred income taxes	13,713
Goodwill	8,242
Other non-current assets	22,203
Total assets	\$ 355,634

Liabilities and Stockholder's Equity

Accounts payable	\$ 11,452
Payable to investment companies for securities	30,514
Payable to third party brokers	3,704
Payable to customers	82,165
Accrued compensation	37,092
Due to affiliates	55
Other current liabilities	14,831
Total current liabilities	179,813
Accrued postretirement costs	213
Other non-current liabilities	9,489
Total liabilities	189,515
Stockholder's equity:	
Common stock, \$1.00 par value: 1,000 shares authorized, issued and outstanding	1
Additional paid-in capital	169,150
Accumulated deficit	(3,545)
Accumulated other comprehensive income	513
Total stockholder's equity	166,119
Total liabilities and stockholder's equity	\$ 355,634

See accompanying notes to consolidated financial statements.