

**ICAP Corporates LLC**  
**Statement of Financial Condition**  
**March 31, 2017**

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*(dollars in thousands)*

**Assets**

Cash and cash equivalents	\$ 53,358
Cash segregated under federal regulations	3,300
Deposits with clearing organizations	3,175
Securities owned, at fair value	10,195
Securities borrowed	1,029,581
Receivable from brokers, dealers and clearing organizations	107,977
Commissions receivable, net of allowance for doubtful accounts of \$422	22,739
Receivable from customers	24,730
Receivable from affiliates	17,008
Exchange and trading memberships	1,064
Goodwill	2,312
Prepaid expenses and other assets	6,053
<b>Total assets</b>	<b>\$ 1,281,492</b>

**Liabilities and Member's Equity**

**Liabilities**

Securities loaned	\$ 1,028,745
Payable to brokers and dealers	75,784
Securities sold, but not yet purchased at fair value	10,308
Payable to customers	25,446
Payable to affiliates	3,867
Accrued expenses and accounts payable	17,071
Income tax payable to affiliate	2,571
<b>Total liabilities</b>	<b>1,163,792</b>

Commitments and contingencies (Note 8)

Member's equity	117,700
<b>Total liabilities and member's equity</b>	<b>\$ 1,281,492</b>

The accompanying notes are an integral part of these financial statements.

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