

ICAP Corporates LLC
Statement of Financial Condition
March 31, 2016

(dollars in thousands)

Assets

Cash and cash equivalents	\$ 62,435
Cash segregated under federal regulations	3,300
Deposits with clearing organizations	9,804
Securities owned, at fair value	1,372
Securities borrowed	1,293,197
Receivable from brokers, dealers and clearing organizations	69,835
Commissions receivable, net of allowance for doubtful accounts of \$415	22,327
Receivable from customers	21,108
Receivable from affiliates	2,638
Income tax receivable from affiliate	4,857
Exchange and trading memberships	880
Goodwill	2,312
Intangible assets, net of accumulated amortization of \$1,041	166
Prepaid expenses and other assets	1,247
Total assets	\$ 1,495,478

Liabilities and Member's Equity

Liabilities

Securities loaned	\$ 1,294,280
Payable to brokers and dealers	60,829
Securities sold, but not yet purchased at fair value	1,382
Payable to customers	7,005
Payable to affiliates	1,287
Accrued expenses and accounts payable	19,993
Total liabilities	1,384,776

Commitments and contingencies (Note 8)

Member's equity	110,702
Total liabilities and member's equity	\$ 1,495,478

The accompanying notes are an integral part of these financial statements.

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