

## Direct Owners/Executive Officers

**Organization CRD Number: 2525**
**Organization Name: DEUTSCHE BANK SECURITIES INC.**
**Organization SEC Number: 8-17822**
**Applicant Name: DEUTSCHE BANK SECURITIES INC.**
[View IA Record](#)

Full Legal Name	Domestic, Foreign, Individual	Status	Date Status Acquired	Ownership Code	Control person	Public Reporting Company	CRD#, EIN, SSN, IRS#
GREEN, CHARLES ERWIN JR	Individual	DIRECTOR	06/2018	Less than 5%	Y	N	<a href="#">2939574</a>
RINALDI, CHARLES J	Individual	CHIEF COMPLIANCE OFFICER	01/2020	Less than 5%	N	N	<a href="#">5832043</a>
MASSARO, TIBERIO	Individual	DIRECTOR/CHIEF FINANCIAL OFFICER/FINOP	02/2015	Less than 5%	Y	N	<a href="#">1847137</a>
STUCCHIO, ANTHONY	Individual	CHIEF OPERATIONS OFFICER	04/2009	Less than 5%	Y	N	<a href="#">2198592</a>
DB U.S. FINANCIAL MARKETS HOLDING CORPORATION	Domestic Entity	STOCKHOLDER	12/1987	75% or more	Y	N	13-3131103
WURCEL, IRA	Individual	GENERAL COUNSEL	10/2020	Less than 5%	N	N	<a href="#">5258389</a>
MAXIMINO, MANUEL	Individual	DIRECTOR	12/2019	Less than 5%	Y	N	<a href="#">4830890</a>
SANDERSON, MICHAEL SEAN	Individual	DIRECTOR	12/2019	Less than 5%	Y	N	<a href="#">5765142</a>
DEMAR, ANDREA LOURO	Individual	CHIEF COMPLIANCE OFFICER FOR INVESTMENT ADVISER	11/2019	Less than 5%	N	N	<a href="#">xxx-xx-xxxx</a>
STUCCHIO, ANTHONY	Individual	DIRECTOR	08/2016	Less than 5%	Y	N	<a href="#">2198592</a>
DESCOVICH, SAMUEL PETER	Individual	DIRECTOR	12/2020	Less than 5%	Y	N	<a href="#">2778465</a>
DAVIES, JAMES EDWARD TERRIS	Individual	CHIEF EXECUTIVE OFFICER & PRESIDENT	06/2019	Less than 5%	Y	N	<a href="#">6122252</a>