

Primary Business Name: NATIONAL FINANCIAL SERVICES LLC**BD Number: 13041****BD - AMENDMENT****09/01/2022****BD - DIRECT OWNERS/EXECUTIVE OFFICERS**Are there any indirect owners of the *applicant* required to be reported on Schedule B?☒ Yes ☐ No

Ownership Codes: NA - less than 5% B - 10% but less than 25% D - 50% but less than 75%
 A - 5% but less than 10% C - 25% but less than 50% E - 75% or more

Full Legal Name	DE/FE/I	Title or Status	Date Acquired	Own. Code	Control Person	PR	CRD #(or S.S.No., IRS Tax #, Emp. ID)
ADAMS, ROBERT JOHN	I	CHIEF OPERATIONS OFFICER/ELECTED MANAGER	06/2019	NA	Y	N	1291582
CRUPI, KAREN MICHELE	I	CHIEF LEGAL OFFICER	08/2008	NA	N	N	2982229
DEPOALO, RONALD EDWARD	I	HEAD OF BROKERAGE OPERATIONS/ELECTED MANAGER	11/2017	NA	Y	N	5995085
DURBIN, MICHAEL ROSS	I	CHIEF EXECUTIVE OFFICER/ELECTED MANAGER	10/2017	NA	Y	N	2090998
DYER, JANET MARIE	I	CHIEF COMPLIANCE OFFICER	03/2021	NA	Y	N	3186352
FIDELITY GLOBAL BROKERAGE GROUP, INC.	DE	SOLE MEMBER	06/2000	E	Y	N	04-3257666
KATZELNICK, MARK CURTIS	I	ELECTED MANAGER	01/2013	NA	Y	N	2919730
LYONS, MICHAEL JOSEPH	I	CHIEF FINANCIAL OFFICER	10/2014	NA	Y	N	4610621
TESAURO, THOMAS JOHN	I	ELECTED MANAGER	01/2017	NA	Y	N	1862532

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