

Direct Owners/Executive Officers

Organization CRD Number: 13041
Organization Name: NATIONAL FINANCIAL SERVICES LLC
Organization SEC Number: 8-26740
Applicant Name: NATIONAL FINANCIAL SERVICES LLC
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Full Legal Name	Domestic, Foreign, Individual	Status	Date Status Acquired	Ownership Code	Control person	Public Reporting Company	CRD#, EIN, SSN, IRS#
FIDELITY GLOBAL BROKERAGE GROUP, INC.	Domestic Entity	SOLE MEMBER	06/2000	75% or more	Y	N	04-3257666
CRUPI, KAREN MICHELE	Individual	CHIEF LEGAL OFFICER	08/2008	Less than 5%	N	N	2982229
MARLINSKI, JUDY	Individual	EXECUTIVE VICE PRESIDENT	05/2020	Less than 5%	Y	N	6751775
DYER, JANET MARIE	Individual	CHIEF COMPLIANCE OFFICER	03/2021	Less than 5%	Y	N	3186352
MIRCHANDANI, SANJIV	Individual	PRESIDENT/ELECTED MANAGER	03/2009	Less than 5%	Y		2771645
LYONS, MICHAEL JOSEPH	Individual	CHIEF FINANCIAL OFFICER	10/2014	Less than 5%	Y	N	4610621
TESAURO, THOMAS JOHN	Individual	ELECTED MANAGER	01/2017	Less than 5%	Y	N	1862532
DEPOALO, RONALD EDWARD	Individual	HEAD OF BROKERAGE OPERATIONS/ELECTED MANAGER	11/2017	Less than 5%	Y	N	5995085
DURBIN, MICHAEL ROSS	Individual	CHIEF EXECUTIVE OFFICER/ELECTED MANAGER	10/2017	Less than 5%	Y	N	2090998
ADAMS, ROBERT JOHN	Individual	CHIEF OPERATIONS OFFICER/ELECTED MANAGER	06/2019	Less than 5%	Y	N	1291582
KATZELNICK, MARK CURTIS	Individual	ELECTED MANAGER	01/2013	Less than 5%	Y	N	2919730