

## Direct Owners/Executive Officers

**Organization CRD Number: 816**

**Organization Name: CREDIT SUISSE SECURITIES (USA) LLC**

**Organization SEC Number: 8-422**

**Applicant Name: CREDIT SUISSE SECURITIES (USA) LLC**

[View IA Record](#)

Full Legal Name	Domestic, Foreign, Individual	Status	Date Status Acquired	Ownership Code	Control person	Public Reporting Company	CRD#, EIN, SSN, IRS#
LUPETIN, SERGIO JOSEPH	Individual	CHIEF FINANCIAL OFFICER	07/2018	Less than 5%	N	N	<a href="#">1221963</a>
DELL'ARENA, ANTHONY JOSEPH	Individual	CHIEF COMPLIANCE OFFICER (CCO) FOR INVESTMENT ADVISER	08/2018	Less than 5%	N	N	<a href="#">2691702</a>
CREDIT SUISSE (USA), INC.	Domestic Entity	SOLE MEMBER	11/2000	75% or more	Y	Y	13-1898818
LALIME, CRYSTAL MARIE	Individual	GENERAL COUNSEL	01/2019	Less than 5%	N	N	<a href="#">5027893</a>
KRAUSS, DAVID I	Individual	CHIEF RISK OFFICER	08/2020	Less than 5%	N	N	<a href="#">6584345</a>
RICHARDS, BRUCE TILTON	Individual	BOARD MEMBER	02/2017	Less than 5%	Y	N	<a href="#">4640086</a>
LEAMON, JERRY PRESTON	Individual	BOARD MEMBER	04/2016	Less than 5%	Y	N	<a href="#">6615160</a>
CURRAN, RICHARD JOHN SR.	Individual	HEAD OF OPERATIONS	04/2017	Less than 5%	N	N	<a href="#">4136136</a>
MILLER, DAVID LAWRENCE	Individual	INTERIM CEO AND HEAD OF INVESTMENT BANKING CAPITAL MARKETS	01/2022	Less than 5%	Y	N	<a href="#">2973313</a>
APPLEWHITE, MORRIS WAYNE	Individual	BOARD MEMBER	03/2021	Less than 5%	Y	N	<a href="#">7347124</a>
ABENANTE, ANTHONY LOUIS	Individual	INTERIM HEAD OF EQUITIES SALES AND TRADING - TEXAS DESIGNATED OFFICER	04/2021	Less than 5%	N	N	<a href="#">1994313</a>
BLEUSTEIN, DAVID ANDREW	Individual	GLOBAL HEAD OF SECURITIES RESEARCH	12/2020	Less than 5%	N	N	<a href="#">2381879</a>
KIM, JAY	Individual	BOARD MEMBER, CO-HEAD OF FIXED INCOME SALES AND TRADING AND HEAD OF CREDIT	04/2021	Less than 5%	N	N	<a href="#">2943078</a>
MILLER, MAURA	Individual	CHIEF COMPLIANCE OFFICER FOR THE BROKER DEALER	06/2022	Less than 5%	N	N	<a href="#">4444187</a>

