

**SCOTTRADE, INC.****BALANCE SHEET  
AS OF SEPTEMBER 30, 2017  
(Dollars in thousands)**

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**ASSETS:**

Cash and cash equivalents	\$ 79,606
Cash and securities segregated under federal and other regulations	3,658,287
Receivable from brokers and dealers and clearing organizations	208,762
Receivable from customers — net of allowance for doubtful accounts of \$1,881	3,221,374
Other receivables	15,382
Receivable from affiliates	2,749
Property and capitalized software, at cost, net of accumulated depreciation and amortization of \$1,575	33,371
Deferred income taxes, net	66,252
Goodwill	1,746,431
Acquired intangible asset, net of accumulated amortization of \$3,086	970,914
Other assets	<u>27,001</u>

<b>TOTAL</b>	<b><u>\$ 10,030,129</u></b>
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**LIABILITIES:**

Payable to brokers and dealers and clearing organizations	\$ 352,203
Payable to customers	6,192,574
Payable to affiliates	8,664
Accounts payable and accrued liabilities	<u>191,407</u>
 Total liabilities	 <u>6,744,848</u>

**STOCKHOLDER'S EQUITY:**

Common stock — no par value:	
Class A, voting — authorized, 750 shares; issued, 166 shares; outstanding, 10 shares	-
Class B, non-voting — authorized, 7,500,000 shares; issued, 1,563,505 shares; outstanding, 0 shares	-
Additional paid-in capital	3,347,669
Accumulated deficit	(2,885)
Treasury Stock — at cost:	
Class A — 156 shares	(6)
Class B — 1,563,505 shares	<u>(59,497)</u>
 Total stockholder's equity	 <u>3,285,281</u>

<b>TOTAL</b>	<b><u>\$ 10,030,129</u></b>
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See notes to balance sheet.