

Primary Business Name: INSTINET, LLC**BD Number: 7897****BD - AMENDMENT****09/16/2021****BD - INDIRECT OWNERS**

| | | |
|-------------------------|----------------------------------|-----------------------------------|
| Ownership Codes: | C - 25% but less than 50% | E - 75% or more |
| | D - 50% but less than 75% | F - Other General Partners |

| Full Legal Name | DE/FE/I | Entity in Which Interest is Owned | Status | Date Acquired | Own. Code | Control Person | PR CRD # (or SSN, IRS Tax #, Emp. ID) |
|------------------------------|----------------|--|---------------|----------------------|------------------|-----------------------|--|
| INSTINET INCORPORATED | DE | INSTINET HOLDINGS INCORPORATED | DIRECT OWNER | 06/2006 | E | Y | N 20-3880413 |
| NHI ACQUISITION HOLDING INC. | DE | INSTINET INCORPORATED | DIRECT OWNER | 02/2007 | E | Y | 20-8039713 |
| NOMURA HOLDINGS, FE INC. | | NHI ACQUISITION HOLDING INC. | DIRECT OWNER | 02/2007 | E | Y | N FOREIGN |