

Instinet, LLC
(A Wholly Owned Subsidiary of Instinet Holdings Incorporated)

Statement of Financial Condition

March 31, 2021
(in thousands)

Assets

Cash and cash equivalents	\$ 52,847
Restricted cash	5,750
Cash segregated in compliance with federal regulations	14,950
Securities borrowed	117,467
Receivable from broker-dealers and clearing organizations	238,387
Receivable from customers	92,561
Transaction fees and other receivables, net of a \$695 allowance	53,803
Deferred tax assets	6,832
Receivable from affiliates	2,077
Other assets	19,129
Total assets	<u><u>\$ 603,803</u></u>

Liabilities and member's equity

Securities loaned	\$ 28,159
Payable to broker-dealers and clearing organizations	100,700
Payable to customers	88,746
Accounts payable	68,001
Accrued compensation	17,111
Payable to affiliates	24,587
Accrued expenses and other liabilities	25,201
Total liabilities	<u>352,505</u>

Commitments and contingent liabilities (See note 8)

Total member's equity	<u>251,298</u>
Total liabilities and member's equity	<u><u>\$ 603,803</u></u>

See accompanying notes to the statement of financial condition.