Primary Business Name: INSTINET, LLC **BD Number: 7897**

BD - AMENDMENT

11/13/2019

BD - INDIRECT OWNERS

Ownership Codes:	**C**	**- 25% but less than 50%**	**E**	**- 75% or more**
	D	**- 50% but less than 75%**	**F**	**- Other General Partners**

Full Legal Name	DE/FE/I	Entity in Which Interest is Owned	Status	Date Acquired	Own. Code	Control Person	PR	CRD # (or SSN, IRS Tax #, Emp. ID)
INSTINET INCORPORATED	DE	INSTINET HOLDINGS INCORPORATED	DIRECT OWNER	06/2006	E	Y	N	20-3880413
NHI ACQUISITION HOLDING INC.	DE	INSTINET INCORPORATED	DIRECT OWNER	02/2007	E	Y		20-8039713
NOMURA HOLDINGS, INC.	FE	NHI ACQUISITION HOLDING INC.	DIRECT OWNER	02/2007	E	Y	N	FOREIGN