

Apex Clearing Corporation
Statement of Financial Condition
December 31, 2015

Assets

Cash and cash equivalents	\$ 13,861,541
Cash - segregated under federal regulations	2,352,656,058
Securities – segregated under federal regulations (cost \$111,914,125)	111,556,440
Receivable from customers, net of allowance of \$75,000	544,848,394
Investments in securities, at fair value (cost \$72,271,983)	72,708,734
Derivative contracts, at fair value (cost \$12,302,574)	12,175,859
Securities purchased under agreements to resell	20,150,000
Deposits with clearing organizations	7,710,214
Receivable from broker-dealers and clearing organizations	10,502,847
Securities borrowed	17,515,515
Fixed assets, less accumulated depreciation of \$1,498,155	2,185,317
Other assets	14,249,271
Total Assets	\$ 3,180,120,190

Liabilities and Stockholders' Equity

Payable to customers	\$ 2,566,082,280
Payable to broker-dealers and clearing organizations	30,385,581
Securities loaned	389,746,225
Derivative contracts, at fair value (net proceeds \$12,432,432)	13,087,964
Payable to correspondents	10,363,882
Payable to affiliates	3,718,476
Dividends payable	2,710,786
Accrued expenses and other liabilities	19,151,014
Total Liabilities	3,035,246,208

Subordinated borrowings (Note 9)	39,000,000
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Stockholders' Equity:

Common stock, \$0.10 par value	
200,000 shares authorized; 100,000 issued and outstanding	10,000
Preferred stock	25,000
Additional paid-in capital	102,888,576
Retained earnings	2,950,406
Total Stockholders' Equity	105,873,982

Total Liabilities and Stockholders' Equity	\$ 3,180,120,190
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See accompanying notes.