



## FSC Securities Corporation's Exemption Report

FSC Securities Corporation (the "Company") is a registered broker-dealer subject to Rule 17a-5 promulgated by the Securities and Exchange Commission (17 C.F.R. §240.17a-5, "Reports to be made by certain brokers and dealers"). This Exemption Report was prepared as required by 17 C.F.R. § 240.17a-5(d)(1) and (4). To the best of its knowledge and belief, the Company states the following:

(1) The Company claimed an exemption from 17 C.F.R. § 240.15c3-3 under the following provision of 17 C.F.R. § 240.15c3-3(k):(2)(ii).

(2) The Company met the identified exemption provision in 17 C.F.R. § 240.15c3-3(k) throughout the year ended December 31, 2015 except as follows whereby customer funds were not promptly transmitted by the next business day after receipt. The February exceptions listed occurred when a state of emergency was declared in Georgia for an ice storm and the office was closed.

<u>Number of Checks</u>	<u>Date Received</u>	<u>Date Forwarded</u>
2	Tuesday, 12/30/2014	Friday, 1/2/2015
10	Tuesday, 2/24/2015	Thursday & Friday 2/26/2015- 2/27/2015
1	Tuesday, 7/21/2015	Thursday, 7/23/2015
1	Friday, 12/4/2015	Tuesday, 12/8/2015

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FSC Securities Corporation



I, , affirm that, to my best knowledge and belief, this Exemption Report is true and correct.

Simmons Fields  
Vice President and Controller  
(Title)

February 29, 2016