

Delaware

PAGE 1

The First State

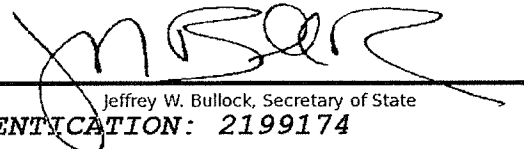
I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "SCPA ADMINISTRATIONS, LLC", CHANGING ITS NAME FROM "SCPA ADMINISTRATIONS, LLC" TO "CASTLE PLACEMENT GROUP, LLC", FILED IN THIS OFFICE ON THE THIRTEENTH DAY OF MARCH, A.D. 2015, AT 12:06 O'CLOCK P.M.

4686219 8100

150353477



You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 2199174

DATE: 03-13-15

State of Delaware
Secretary of State
Division of Corporations
Delivered 12:06 PM 03/13/2015
FILED 12:06 PM 03/13/2015
SRV 150353477 - 4686219 FILE

**STATE OF DELAWARE
CERTIFICATE OF AMENDMENT**

1. Name of Limited Liability Company: SCPA Administrations, LLC
2. The Certificate of Formation of the limited liability company is hereby amended as follows:

Castle Placement Group, LLC

IN WITNESS WHEREOF, the undersigned have executed this Certificate on
the 13th day of March, A.D. 2015.

By: 
Authorized Person(s)

Name: Richard Luftig, Member
Print or Type



State of Delaware

SECRETARY OF STATE
DIVISION OF CORPORATIONS
P.O. BOX 898
DOVER, DELAWARE 19903

150353477

9931712

03-13-2015

STONECASTLEPARTNERS LLC

152 WEST 57TH STREET, 35TH FLOOR

NEW YORK NY 10019

ATTN: JONATHAN STAMMELMAN X

DESCRIPTION	AMOUNT
CASTLE PLACEMENT GROUP, LLC	
4686219 0240 Amendment; Domestic	
Amendment Fee	180.00
Certification Fee	50.00
Court Municipality Fee, Wilm.	20.00
Expedite Fee, 24 Hour	100.00
FILING TOTAL	350.00
TOTAL PAYMENTS	350.00
SERVICE REQUEST BALANCE	.00

Delaware

PAGE 1

The First State

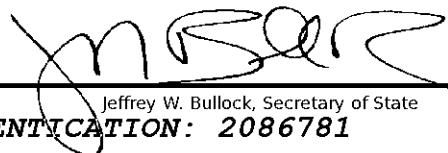
I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "STONECASTLE PLACEMENT ADVISORS, LLC", CHANGING ITS NAME FROM "STONECASTLE PLACEMENT ADVISORS, LLC" TO "SCPA ADMINISTRATIONS, LLC", FILED IN THIS OFFICE ON THE TWENTY-FOURTH DAY OF SEPTEMBER, A.D. 2013, AT 10:17 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "SCPA ADMINISTRATIONS, LLC" WAS FORMED ON THE TWELFTH DAY OF MAY, A.D. 2009.

4686219 8100

150130986




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 2086781

DATE: 02-02-15

State of Delaware
Secretary of State
Division of Corporations
Delivered 10:17 AM 09/24/2013
FILED 10:17 AM 09/24/2013
SRV 131120304 - 4686219 FILE

STATE OF DELAWARE CERTIFICATE OF AMENDMENT

1. Name of Limited Liability Company: StoneCastle Placement
Advisors, LLC
2. The Certificate of Formation of the limited liability company is hereby amended
as follows:

SCPA Administration, LLC

IN WITNESS WHEREOF, the undersigned have executed this Certificate on
the 24th day of September, A.D. 2013.

By: 

Authorized Person(s)

Name: Jonathan Stammelman CFO

Print or Type

State of Delaware
Secretary of State
Division of Corporations
Delivered 10:17 AM 09/24/2013
FILED 10:17 AM 09/24/2013
SRV 131120304 - 4686219 FILE

STATE OF DELAWARE CERTIFICATE OF AMENDMENT

1. Name of Limited Liability Company: StoneCastle Placement
Advisors, LLC
2. The Certificate of Formation of the limited liability company is hereby amended
as follows:

SCPA Administration, LLC

IN WITNESS WHEREOF, the undersigned have executed this Certificate on
the 24th day of September, A.D. 2013.

By: 

Authorized Person(s)

Name: Jonathan Stammelman CFO

Print or Type

Delaware

PAGE 1

The First State


I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "STONECASTLE PLACEMENT ADVISORS, LLC", CHANGING ITS NAME FROM "STONECASTLE PLACEMENT ADVISORS, LLC" TO "SCPA ADMINISTRATIONS, LLC", FILED IN THIS OFFICE ON THE TWENTY-FOURTH DAY OF SEPTEMBER, A.D. 2013, AT 10:17 O'CLOCK A.M.

4686219 8100

131120304

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 0760627

DATE: 09-24-13



State of Delaware

SECRETARY OF STATE
DIVISION OF CORPORATIONS
P.O. BOX 898
DOVER, DELAWARE 19903

131120304

9919467

09-24-2013

STONECASTLE PARTNERS LLC
152 WEST 57TH STREET
NEW YORK

NY 10019

ATTN: JONATHAN STAMMELMAN X

DESCRIPTION	AMOUNT
SCPA ADMINISTRATIONS, LLC	
4686219 0240 Amendment; Domestic	
Amendment Fee	180.00
Certification Fee	50.00
Court Municipality Fee, Wilm.	20.00
Expedite Fee, Same Day	200.00
FILING TOTAL	450.00
TOTAL PAYMENTS	450.00
SERVICE REQUEST BALANCE	.00

Delaware

PAGE 1

The First State

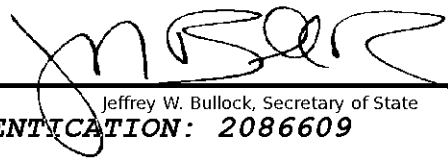
I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "STONECASTLE PORTFOLIO ADVISORS, LLC", CHANGING ITS NAME FROM "STONECASTLE PORTFOLIO ADVISORS, LLC" TO "STONECASTLE PLACEMENT ADVISORS, LLC", FILED IN THIS OFFICE ON THE SEVENTH DAY OF NOVEMBER, A.D. 2011, AT 12:30 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "STONECASTLE PLACEMENT ADVISORS, LLC" WAS FORMED ON THE TWELFTH DAY OF MAY, A.D. 2009.

4686219 8100

150130763




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 2086609

DATE: 02-02-15

**STATE OF DELAWARE
CERTIFICATE OF AMENDMENT**

1. Name of Limited Liability Company: StoneCastle Portfolio Advisors, LLC.
2. The Certificate of Formation of the limited liability company is hereby amended as follows:

We are amending the name of the limited liability company to be StoneCastle Placement Advisors, LLC

IN WITNESS WHEREOF, the undersigned have executed this Certificate on the 1st day of November, A.D. 2011.

By: 

Authorized Person(s)

Name: Jonathan Stammelman

Print or Type

Delaware

PAGE 1

The First State

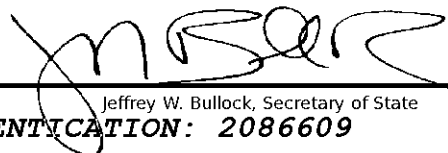
I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "STONECASTLE PORTFOLIO ADVISORS, LLC", CHANGING ITS NAME FROM "STONECASTLE PORTFOLIO ADVISORS, LLC" TO "STONECASTLE PLACEMENT ADVISORS, LLC", FILED IN THIS OFFICE ON THE SEVENTH DAY OF NOVEMBER, A.D. 2011, AT 12:30 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "STONECASTLE PLACEMENT ADVISORS, LLC" WAS FORMED ON THE TWELFTH DAY OF MAY, A.D. 2009.

4686219 8100

150130763




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 2086609

DATE: 02-02-15

**STATE OF DELAWARE
CERTIFICATE OF AMENDMENT**

1. Name of Limited Liability Company: StoneCastle Portfolio Advisors, LLC.
2. The Certificate of Formation of the limited liability company is hereby amended as follows:

We are amending the name of the limited liability company to be StoneCastle Placement Advisors, LLC

IN WITNESS WHEREOF, the undersigned have executed this Certificate on the 1st day of November, A.D. 2011.

By: 

Authorized Person(s)

Name: Jonathan Stammelman

Print or Type