Amendment to Mizuho Capital Markets LLL Form SBSE-A

Mizuho Capital Markets LLC is amending the following items on its Form SBSE-A

#	Amendment	Note/Description of Amendment
1.	Schedule B Item 13 A	Additional Firm Added (SAP Industries, Inc.)
2.	Schedule B Item 13 A	Submission of forms with description of the nature with respect to the
		books or records.
3.	Schedule B item 13 B	Submission of forms with descriptions of the execution, trading, custody,
		clearing or settlement arrangement.
4.	Schedule B Item 14	Submission of form with description of the nature of the control or
		agreement.

Schedule B of FORM	Applicant Name: Mizul	ho Capital Marke	ts LLC	Official Use	U	icial se nly
SBSE-A Page 1	Date: 2/16/2022		FA No.: 0351572			ıı y
Use this Schedule B to report deta previously submitted details. Do n		omitted information				
Section I Other Business						
Item 11: Does applicant engage in		es, financial service	s industry-related bu	ısiness?		
UIC (if any), or other Unique Identificat	ion Number(s):	Assigning Regul	lator(s)/Entity(s):			
Briefly describe any other financial services	industry-related, non-securities	s business in which the a	applicant is engaged:			
Section II Record Mainte	nance Arrangements	/ Business Arrang	gements / Control F	Persons / Financings		
(Check one) [X] Item 13A [Applicant must complete a separar multiple responses to any item. Corragreement became effective. V of the change.	e Schedule B Page 1 for somplete the "Effective D	Date" box with the N	response in this sec lonth, Day and Year	that the arrangement		
Firm or Organization Name Broadridge Financial Solutions			SEC File, CRD, NFA, IARD (if any) 0001383312), UIC, and/or CIK Number		
Business Address (Street, City, State/Country, Zip +	•		Effective Date MM DD YYYY	Termination Date MM DD YYYY	:	
5 Dakota Drive, Suite 300, Lake Su	ccess, NY 11042		12 03 2003	/ /		
Individual Name			CRD, NFA, and/or IARD No	umber (if any)		
Business Address (if applicable) (Street, City, State/Country, Zip + 4 Postal Code) Effective Date MM DD YYYY MM DD YYYY / /						
Briefly describe the nature of the arrangeme settlement arrangement (ITEM 13B); the nat side of this sheet for additional comments if Broadridgeis used as the back of	ure of the control or agreemer necessary.	nt (ITEM 14); or the meth	nod and amount of financir	ng (ITEM 15). Use reverse	rds	
For ITEM 14 ONLY - If the control person is an indiv- employer, job title, and dates of service).						
Section III Successions						
Item 16: Is the applicant at the time	e of this filing succeeding	ng to the business o	of a currently registe	red SBS Entity?		
Date of Succession MM DD YYYY	Name of Predecessor					
SEC File, CRD, NFA, IARD, UIC, and/or CIK Numb	er (if any)	IRS Employer Number (if	any)			
Briefly describe details of the succession incomments if necessary.	luding any assets or liabilities	not assumed by the suc	cessor. Use reverse side	of this sheet for additional		
Section IV Principals Effe	cting or Involved in E	ffecting SBS Busi	ness			
Item 19: Does any principal not ide and Schedule A involved in effection be involved in effecting such busin	ng security-based swap	s on behalf of the a				
For each Principal identified in Sec	ction IV, complete Sche	dule D of the Form	SBSE-A and the rel	levant DRP pages.		
1. Name of Principal		ype of Entity (Corp, Partners LC, etc.)		D, NFA, IARD, CIK Number, UIC x Identification Number		
Business Address (Street, City, State/Coun	try, Zip + 4/Postal Code)		ı			
This entity [] effects []	is involved in effecting	ş security based sv	vaps on behalf of the	e applicant. (check only		
Briefly describe the details of the principal's applicant:	activities relating to its effectin	g or involvement in effec	ting security-based swap	transactions on behalf of the		

Schedule B of FORM	Applicant Name: Mizu	uho Capital Marke	ts LLC	Official Use	Officia Use Only	Э
SBSE-A Page 1	Date: 2/16/2022		_{A No.:} 0351572			_
Use this Schedule B to report detail previously submitted details. Do no	ot repeat previously su	ıbmitted information				
	ENDED detail filing for	r the Form SBSE-A	items checked belov	W:	-	
		as financial contino	a industry related by	uning and	-	
Item 11: Does applicant engage in UIC (if any), or other Unique Identificati		Assigning Regul		usiness?	\vdash	
Ore (ii arry), or other ornique identificati	on Number(s).	Assigning Regul	ator(s)/Entity(s).		Ш	
Briefly describe any other financial services in	<i>industry-related</i> , non-securitie	es business in which the a	applicant is engaged:			
Section II Record Maintel	nance Arrangements	/ Business Arrang	ements / Control F	Persons / Financings		
, , , , , , , , , , , , , , , , , , , ,		tem 14 [] Iter				
Applicant must complete a separat multiple responses to any item. Co or agreement became effective. Wo fthe change.	omplete the "Effective	Date" box with the N	Month, Day and Year	r that the arrangement		
Firm or Organization Name Ernst & Young			SEC File, CRD, NFA, IARD any) CRD# 7059/SEC# 80	D, UIC, and/or CIK Number (if 1-3387,8-8177		
Business Address (Street, City, State/Country, Zip +	4 Postal Code)		Effective Date	Termination Date		
One Manhattan West, I	New York, NY	10001	MM DD YYYY 01 01 2 002	MM DD YYYY		
Individual Name			CRD, NFA, and/or IARD N	umber (if any)		
Business Address (if applicable) (Street, City, State/C	Country, Zip + 4 Postal Code)		Effective Date MM DD YYYY / /	Termination Date MM DD YYYY / /		
Briefly describe the nature of the arrangemen settlement arrangement (ITEM 13B); the natuside of this sheet for additional comments if r Ernst & Young is engaged to perform the exte	ure of the control or agreemenecessary.	ent (ITEM 14); or the meth	od and amount of financi	ng (ITEM 15). Use reverse	c.	
For ITEM 14 ONLY - If the control person is an indiv - employer, job title, and dates of service).	idual not presently registered thro	ough CRD or IARD, describe p	prior investment-related experi	ience (e.g., for each prior position		
Section III Successions						
Item 16: Is the applicant at the time	e of this filing succeedi	ing to the business o	of a currently registe	red SBS Entity?		
Date of Succession MM DD YYYY	Name of Predecessor					
SEC File, CRD, NFA, IARD, UIC, and/or CIK Number	er (if any)	IRS Employer Number (if	any)			
Briefly describe details of the succession incl comments if necessary.	uding any assets or liabilities	s not assumed by the suc	cessor. Use reverse side	of this sheet for additional		
Section IV Principals Effe	cting or Involved in E	Effecting SBS Busi	ness			
Item 19: Does any principal not ide and Schedule A involved in effectir be involved in effecting such busine	ng security-based swap	ps on behalf of the a	r is any principal no applicant, or will sucl	t identified in Item 15 h principals effect or		
For each Principal identified in Sec	tion IV, complete Sch	edule D of the Form	SBSE-A and the rea	levant DRP pages.		
1. Name of Principal		Type of Entity (Corp, Partners LLC, etc.)		D, NFA, IARD, CIK Number, UIC ux Identification Number		
Business Address (Street, City, State/Count	try, Zip + 4/Postal Code)		l			
This entity [] effects []	is involved in effecting	g security based sv	vaps on behalf of the	e applicant. (check only		
Briefly describe the details of the principal's a applicant:	activities relating to its effecti	ng or involvement in effec	ting security-based swap	transactions on behalf of the		

Schedule B of FORM	Applicant Name: Mizuh	o Capital Marke	ts LLC	Official Use	Us	icial se nly
SBSE-A Page 1		Applicant NI				
Use this Schedule B to report detai				ges/updates to		
previously submitted details. Do not This is an [] INITIAL [X] AM	ot repeat previously subl ENDED detail filing for t			w.		
Section I Other Business						
Item 11: Does applicant engage in any other non-securities, financial services industry-related business?						
UIC (if any), or other Unique Identificati	on Number(s):	Assigning Regul	lator(s)/Entity(s):			
Briefly describe any other financial services in	ndustry-related, non-securities	business in which the a	applicant is engaged:			
Section II Record Mainter	nance Arrangements /	Business Arrang	gements / Control P	Persons / Financings		
] Item 13B [] Ite					
Applicant must complete a separat multiple responses to any item. Co or agreement became effective. Wo of the change.	emplete the "Effective Da	ate" box with the N	/lonth, Day and Year	that the arrangement		
Firm or Organization Name Global Relay Commuications			SEC File, CRD, NFA, IARD Number (if any)), UIC, and/or CIK		
Business Address (Street, City, State/Country, Zip +	•		Effective Date MM DD YYYY	Termination Date MM DD YYYY		
2220 Cambie Street, 2nd Floor, Van	couver, BC V6B 2M, Ca	anada	01 01 2019	/ /		
Individual Name			CRD, NFA, and/or IARD Nu	umber (if any)		
Business Address (if applicable) (Street, City, State/Country, Zip + 4 Postal Code) Effective Date MM DD YYYY MM DD YYYY MM DD YYYY						
Briefly describe the nature of the arrangement with respect to books or records (ITEM 13A); the nature of the execution, trading, custody, clearing or settlement arrangement (ITEM 13B); the nature of the control or agreement (ITEM 14); or the method and amount of financing (ITEM 15). Use reverse side of this sheet for additional comments if necessary. Repository for any electronic communications						
For ITEM 14 ONLY - If the control person is an indivious - employer, job title, and dates of service).	idual not presently registered throug	gh CRD or IARD, describe p	prior investment-related experi	ence (e.g., for each prior position		
Section III Successions						
Item 16: Is the applicant at the time		g to the business o	of a currently register	red SBS Entity?		
Date of Succession MM DD YYYY	Name of Predecessor					
SEC File, CRD, NFA, IARD, UIC, and/or CIK Number	er (if any)	IRS Employer Number (if	fany)			
,,,,,,,,	(=,)					
Briefly describe details of the succession incl comments if necessary.	uding any assets or liabilities n	ot assumed by the suc	cessor. Use reverse side	of this sheet for additional		
Section IV Principals Effe	cting or Involved in Ef	fecting SBS Busi	iness			
Item 19: Does any principal not ide and Schedule A involved in effectin be involved in effecting such busine	ng security-based swaps ess on the applicant's be	on behalf of the a ehalf?	applicant, or will such	n principals effect or		
For each Principal identified in Sec	-		1			
1. Name of Principal		pe of Entity (Corp, Partners C, etc.)		D, NFA, IARD, CIK Number, UIC x Identification Number		
Business Address (Street, City, State/Count	ry, Zip + 4/Postal Code)					
This entity [] effects []	is involved in effecting	security based sv	vaps on behalf of the	e applicant. (check only		
Briefly describe the details of the principal's a applicant:	activities relating to its effecting	or involvement in effec	cting security-based swap	transactions on behalf of the		

Schedule B of FORM	Applicant Name: Mizuh	no Capital Marke	ts LLC	Official Use	U	ficial Ise Inly
SBSE-A Page 1	Date: 2/16/2022		FA No.: 0351572			y
Use this Schedule B to report deta previously submitted details. Do n		omitted information				
Section I Other Business	s					
Item 11: Does applicant engage in		s, financial service	s industry-related bu	usiness?	<u> </u>	
UIC (if any), or other Unique Identificat	ion Number(s):	Assigning Regul	lator(s)/Entity(s):			
Briefly describe any other financial services	industry-related, non-securities	s business in which the a	applicant is engaged:			
Section II Record Mainte	nance Arrangements /	/ Business Arrang	gements / Control F	Persons / Financings		
(Check one) [X] Item 13A [Applicant must complete a separat multiple responses to any item. Co or agreement became effective. Wo of the change.	omplete the "Effective D	or each affirmative Date" box with the N	response in this sec Month, Day and Year	r that the arrangement		
Firm or Organization Name SAP INDUSTRIES, INC			SEC File, CRD, NFA, IARD), UIC, and/or CIK Number (if		
Business Address (Street, City, State/Country, Zip + 3999 West Chester Pike, N	•	PA 19073	Effective Date MM DD YYYY 01 /21/2022	Termination Date MM DD YYYY		
Individual Name			CRD, NFA, and/or IARD No	umber (if any)	1	
Business Address (if applicable) (Street, City, State/Country, Zip + 4 Postal Code) Effective Date MM DD YYYY / / / / / / / / / / / / / / / / /						
Briefly describe the nature of the arrangement settlement arrangement (ITEM 13B); the natiside of this sheet for additional comments if General Ledger for Mizuho Capi	ure of the control or agreemen necessary.					
For ITEM 14 ONLY - If the control person is an indiv - employer, job title, and dates of service).	idual not presently registered throu	gh CRD or IARD, describe	prior investment-related experi	ience (e.g., for each prior position		
Section III Successions						
Item 16: Is the applicant at the time	e of this filing succeeding	g to the business of	of a currently registe	red SBS Entity?		
Date of Succession MM DD YYYY / /	Name of Predecessor					
SEC File, CRD, NFA, IARD, UIC, and/or CIK Number	er (if any)	IRS Employer Number (if	f any)			
Briefly describe details of the succession inc comments if necessary.	luding any assets or liabilities i	I not assumed by the suc	cessor. Use reverse side	of this sheet for additional		
Section IV Principals Effe	cting or Involved in Et	ffecting SBS Busi	iness			
Item 19: Does any principal not ide and Schedule A involved in effecting be involved in effecting such busin	ng security-based swaps	s on behalf of the a				
For each Principal identified in Sec	tion IV, complete Sched	dule D of the Form	SBSE-A and the rel	levant DRP pages.	L	
1. Name of Principal		/pe of Entity (Corp, Partners .C, etc.)		D, NFA, IARD, CIK Number, UIC ix Identification Number		
Business Address (Street, City, State/Coun	try, Zip + 4/Postal Code)		I			
This entity [] effects []	is involved in effecting	security based sv	vaps on behalf of the	e applicant. (check only		
Briefly describe the details of the principal's applicant:	activities relating to its effecting	g or involvement in effec	cting security-based swap	transactions on behalf of the		

Schedule B of FORM	Applicant Name: Mizul	ho Capital Marke	ts LLC	Official Use	U	ficial Ise Inly
SBSE-A	Date: 2/16/2022		FA No.: 0351572			illy
Page 1 Use this Schedule B to report det previously submitted details. Do This is an [] INITIAL [X] Al		bmitted information	1.			
Section I Other Busines	ss					
Item 11: Does <i>applicant</i> engage i	n any other non-securitie	es, financial service	es industry-related bu	usiness?		
UIC (if any), or other Unique Identifica	tion Number(s):	Assigning Regu	llator(s)/Entity(s):			
Briefly describe any other financial services	industry-related, non-securities	s business in which the	applicant is engaged:			
Section II Record Maint	enance Arrangements	/ Business Arrang	gements / Control F	Persons / Financings		
(Check one) [] Item 13A [Applicant must complete a separa multiple responses to any item. Or agreement became effective. To the change.	complete the "Effective D	or each affirmative Date" box with the N	response in this sec Month, Day and Yea	r that the arrangement		
Firm or Organization Name Citigroup Global Markets Inc			SEC File, CRD, NFA, IARD any) CRD# 7059/SEC# 80), UIC, and/or CIK Number (if		
Business Address (Street, City, State/Country, Zip One New York Plaza,	•	JV 10004	Effective Date MM DD YYYY	Termination Date MM DD YYYY		
Individual Name	7 (111 1001, 141, 1	11 10004	09 /23 2016 CRD, NFA, and/or IARD N	/ /	-	
	(Occuptor 7 in a 4 Partal Octa)		, ,			
Business Address (if applicable) (Street, City, State/Country, Zip + 4 Postal Code) Effective Date MM DD YYYY MM DD YYYY / / /						
Briefly describe the nature of the arrangem settlement arrangement (ITEM 13B); the na side of this sheet for additional comments in Citigroup Global Markets Inc pr	ture of the control or agreemer necessary.	nt (ITEM 14); or the meth	hod and amount of financi	ng (ITEM 15). Use reverse		
For ITEM 14 ONLY - If the control person is an inc - employer, job title, and dates of service).	ividual not presently registered throu	ugh CRD or IARD, describe	prior investment-related experi	ience (e.g., for each prior position		
Section III Successions						
Item 16: Is the applicant at the time	-	ng to the business	of a currently registe	red SBS Entity?		
Date of Succession MM DD YYYY / /	Name of Predecessor					
SEC File, CRD, NFA, IARD, UIC, and/or CIK Num	per (if any)	IRS Employer Number (i	f any)			
Briefly describe details of the succession in comments if necessary.	cluding any assets or liabilities	not assumed by the suc	ccessor. Use reverse side	of this sheet for additional	-	
Section IV Principals Eff	ecting or Involved in E	ffecting SBS Bus	iness			
Item 19: Does any principal not id and Schedule A involved in effect be involved in effecting such busi	ing security-based swap	s on behalf of the				
For each Principal identified in Se	ction IV, complete Sche	dule D of the Form	SBSE-A and the re	levant DRP pages.		
1. Name of Principal		ype of Entity (Corp, Partners LC, etc.)		D, NFA, IARD, CIK Number, UIC x Identification Number		
Business Address (Street, City, State/Cou	ntry, Zip + 4/Postal Code)		<u>'</u>			L
This entity [] effects [] is involved in effecting	g security based so	waps on behalf of the	e applicant. (check only		
Briefly describe the details of the principal's applicant:	activities relating to its effecting	ng or involvement in effec	cting security-based swap	transactions on behalf of the		

Schedule B of FORM	Applicant Name: Miz	uho Capital Marke	ts LLC	Official Use	U	ficial Jse Only
SBSE-A Page 1	Date: 2/16/2022		FA No.: 0351572			y
Use this Schedule B to report de previously submitted details. Do		ubmitted information	ı.			
Section I Other Busine	ss					
Item 11: Does applicant engage	n any other non-securit	ies, financial service	es industry-related bu	usiness?	Ļ	
UIC (if any), or other Unique Identific	ation Number(s):	Assigning Regu	lator(s)/Entity(s):			
Briefly describe any other financial service	s industry-related, non-securit	ies business in which the	applicant is engaged:			
Section II Record Main	enance Arrangements	s / Business Arrang	gements / Control F	Persons / Financings		
(Check one) [] Item 13A [Applicant must complete a separ multiple responses to any item. or agreement became effective. of the change.	ate Schedule B Page 1 Complete the "Effective	Date" box with the M	response in this sec Month, Day and Yea	r that the arrangement		
Firm or Organization Name Mizuho Bank LTD			SEC File, CRD, NFA, IARE any) CRD# 7059/SEC# 80	D, UIC, and/or CIK Number (if 1-3387,8-8177		
Business Address (Street, City, State/Country, Zip 2-1 Nihombashi-kabuto-cho, c	,	103-0026	Effective Date MM DD YYYY	Termination Date MM DD YYYY		
Individual Name			04 / 17/ 2003 CRD, NFA, and/or IARD N	umber (if any)		
Business Address (if applicable) (Street, City, State/Country, Zip + 4 Postal Code) Effective Date MM DD YYYY / / / / / / / / / / / / / / / / /						
Briefly describe the nature of the arranger settlement arrangement (ITEM 13B); the r side of this sheet for additional comments Mizuho Bank LTD is the Contin	ature of the control or agreem if necessary.	ent (ITEM 14); or the meth	hod and amount of financi	ng (ITEM 15). Use reverse		
For ITEM 14 ONLY - If the control person is an ir - employer, job title, and dates of service).	dividual not presently registered thr	rough CRD or IARD, describe	prior investment-related experi	ience (e.g., for each prior position		
Section III Successions						
Item 16: Is the applicant at the tir	1	ling to the business	of a currently registe	red SBS Entity?		
Date of Succession MM DD YYYY	Name of Predecessor					
SEC File, CRD, NFA, IARD, UIC, and/or CIK Nur	nber (if any)	IRS Employer Number (i	f any)			
Briefly describe details of the succession i comments if necessary.	ncluding any assets or liabilitie	es not assumed by the suc	ccessor. Use reverse side	of this sheet for additional	-	
Section IV Principals Ef	fecting or Involved in	Effecting SBS Bus	iness			П
Item 19: Does any principal not in and Schedule A involved in effect be involved in effecting such bus	ting security-based swa	ips on behalf of the a				
For each Principal identified in S	ection IV, complete Sch	edule D of the Form	SBSE-A and the re	levant DRP pages.	L	
1. Name of Principal		Type of Entity (Corp, Partners LLC, etc.)		D, NFA, IARD, CIK Number, UIC ax Identification Number		
Business Address (Street, City, State/Co	untry, Zip + 4/Postal Code)		·			
This entity [] effects [] is involved in effecting	ng security based s	waps on behalf of the	e applicant. (check only		
Briefly describe the details of the principal applicant:	s activities relating to its effect	ing or involvement in effec	cting security-based swap	transactions on behalf of the		

Schedule B of FORM	Applicant Name: Mizuh	o Capital Market	s LLC	Official Use	Offici Use Only	Э
SBSE-A Page 1	Date: 2/16/2022		A No.: 0351572		Π	
Use this Schedule B to report deta previously submitted details. Do n	ot repeat previously subr	mitted information.				
This is an [] INITIAL [X] AMENDED detail filing for the Form SBSE-A items checked below: Section I Other Business						
Item 11: Does applicant engage in any other non-securities, financial services industry-related business?						
UIC (if any), or other Unique Identificat	•	Assigning Regula				
Briefly describe any other financial services	industry-related, non-securities	business in which the a	pplicant is engaged:			
Section II Record Mainte	nance Arrangements /	Business Arrang	ements / Control F	Persons / Financings		
(Check one) [] Item 13A [x] Item 13B [] Iter	m 14 [] Item	n 15			
Applicant must complete a separate multiple responses to any item. Co or agreement became effective. Wo of the change.	omplete the "Effective Da	ate" box with the M	lonth, Day and Year	r that the arrangement		
Firm or Organization Name BNY Mellon			SEC File CRD#17452	, UIC, and/or CIK Number (if		
Business Address (Street, City, State/Country, Zip +	,		Effective Date	Termination Date	1	
240 Greenwich St, Nev	v York, NY 1028	36	MM DD YYYY 07 /26 2016	MM DD YYYY / /		
Individual Name			CRD, NFA, and/or IARD N	umber (if any)	1	
Business Address (if applicable) (Street, City, State/Country, Zip + 4 Postal Code) Effective Date MM DD YYYY MM DD YYYY MM DD YYYY						
Briefly describe the nature of the arrangement settlement arrangement (ITEM 13B); the natiside of this sheet for additional comments if BNY Mellon serve as initial marg	ure of the control or agreement necessary.	(ITEM 14); or the method	od and amount of financi	ling, custody, clearing or ng (ITEM 15). Use reverse		
For ITEM 14 ONLY - If the control person is an indiv - employer, job title, and dates of service).	idual not presently registered throug	h CRD or IARD, describe p	rior <i>investment-related</i> experi	ience (e.g., for each prior position		
Section III Successions						
Item 16: Is the applicant at the time		to the business o	f a currently registe	red SBS Entity?	- 1	
Date of Succession MM DD YYYY / /	Name of Predecessor					
SEC File, CRD, NFA, IARD, UIC, and/or CIK Number	er (if any)	IRS Employer Number (if	any)			
Briefly describe details of the succession inc comments if necessary.	luding any assets or liabilities n	ot assumed by the succ	cessor. Use reverse side	of this sheet for additional	•	
Section IV Principals Effe	cting or Involved in Eff	fecting SBS Busi	ness			_
Item 19: Does any principal not ide and Schedule A involved in effecting be involved in effecting such busin	ng security-based swaps ess on the applicant's be	on behalf of the a ehalf?	pplicant, or will sucl	h principals effect or		
For each Principal identified in Sec			T		\sqcup	
1. Name of Principal		pe of Entity (Corp, Partnersh C, etc.)		D, NFA, IARD, CIK Number, UIC ax Identification Number		
Business Address (Street, City, State/Coun	try, Zip + 4/Postal Code)		<u> </u>			_
This entity [] effects []	is involved in effecting	security based sw	aps on behalf of the	e applicant. (check only		
Briefly describe the details of the principal's applicant:	activities relating to its effecting	or involvement in effect	ting security-based swap	transactions on behalf of the		

Schedule B of FORM	Applicant Name: Mizu	ho Capital Marke	ts LLC	Official Use	Official Use Only
SBSE-A Page 1	Date: 2/16/2022		FA No.: 0351572		
Use this Schedule B to report detail previously submitted details. Do no	ot repeat previously sul	bmitted information			
This is an [] INITIAL [X] AM Section I Other Business	ENDED detail filing for	the Form SBSE-A	ilems checked belov	W.	
Item 11: Does applicant engage in	_	es, financial service	es industry-related bu	usiness?	
UIC (if any), or other Unique Identificati		<u> </u>	lator(s)/Entity(s):		
Briefly describe any other financial services in	ndustry-related, non-securitie	es business in which the a	applicant is engaged:		
Section II Record Maintel	nance Arrangements	/ Business Arrang	gements / Control F	Persons / Financings	
	x] Item 13B [] Ite				
Applicant must complete a separat multiple responses to any item. Co or agreement became effective. Wo of the change.	omplete the "Effective D	Date" box with the N	Month, Day and Yea	r that the arrangement	
Firm or Organization Name LCH Clearnet Limited			SEC File, CRD, NFA, IARD), UIC, and/or CIK Number (if	1
Business Address (Street, City, State/Country, Zip +	,		Effective Date	Termination Date	1
33 Aldgate High St, Londor	າ, United Kingdon	m EC3N 1EA	MM DD YYYY 07 101 2010	MM DD YYYY / /	
Individual Name			CRD, NFA, and/or IARD N	umber (if any)	
Business Address (if applicable) (Street, City, State/C	Country, Zip + 4 Postal Code)		Effective Date MM DD YYYY / /	Termination Date MM DD YYYY / /	
Briefly describe the nature of the arrangemen settlement arrangement (ITEM 13B); the natuside of this sheet for additional comments if r. Mizuho Capital Markets is a clea	ure of the control or agreement necessary.	nt (ITEM 14); or the meth	nod and amount of financi	ling, custody, clearing or ng (ITEM 15). Use reverse	
For ITEM 14 ONLY - If the control person is an indiv - employer, job title, and dates of service).	idual not presently registered throu	ugh CRD or IARD, describe լ	prior investment-related experi	ience (e.g., for each prior position	
Section III Successions					
Item 16: Is the applicant at the time	of this filing succeeding	ng to the business o	of a currently registe	red SBS Entity?	
Date of Succession MM DD YYYY / /	Name of Predecessor				
SEC File, CRD, NFA, IARD, UIC, and/or CIK Number	er (if any)	IRS Employer Number (if	fany)		
Briefly describe details of the succession incl comments if necessary.	uding any assets or liabilities	not assumed by the suc	cessor. Use reverse side	of this sheet for additional	
Section IV Principals Effe	cting or Involved in E	ffecting SBS Busi	iness		
Item 19: Does any principal not ide and Schedule A involved in effectir be involved in effecting such busine	ng security-based swap	os on behalf of the a	or is any principal no applicant, or will suc	t identified in Item 15 h principals effect or	
For each Principal identified in Sec	tion IV, complete Sche	edule D of the Form	SBSE-A and the re	levant DRP pages.	
1. Name of Principal		Type of Entity (Corp, Partners LC, etc.)		D, NFA, IARD, CIK Number, UIC xx Identification Number	
Business Address (Street, City, State/Count	ry, Zip + 4/Postal Code)		<u>'</u>		
This entity [] effects []	is involved in effecting	g security based sv	vaps on behalf of the	e applicant. (check only	
Briefly describe the details of the principal's a applicant:	activities relating to its effecting	ng or involvement in effec	cting security-based swap	transactions on behalf of the	

Schedule B of F	FORM	Applicant Name: Mizu	ıho Capital Marke	ts LLC	Official Use	U	ficial Ise nly
SBSE-A Page 1		Date: 2/16/2022		FA No.: 0351572			ıny
Use this Schedule B to previously submitted detection This is an [] INITIAL	tails. Do no		bmitted information				
Section I Othe	r Business	3					
Item 11: Does applicant			es, financial service	s industry-related bu	usiness?		
UIC (if any), or other Uniqu	ie Identificati	on Number(s):	Assigning Regu	lator(s)/Entity(s):			
Briefly describe any other finan	ncial services ii	ndustry-related, non-securitie	es business in which the	applicant is engaged:			
Section II Reco	ord Mainter	nance Arrangements	/ Business Arrang	gements / Control F	Persons / Financings		
(Check one) [] Item	-	(] Item 13B [] It			tion in alcoding a pac		
Applicant must complete multiple responses to ar or agreement became e of the change.	ny item. Co	mplete the "Effective I	Date" box with the N	Month, Day and Yea	r that the arrangement		
Firm or Organization Name Mizuho Bank, Ltd				SEC File, CRD, NFA, IARE any)), UIC, and/or CIK Number (if		
Business Address (Street, City, State		•		Effective Date MM_DD_YYYY	Termination Date		
2-1 Nihombashi-kabuto	o-cho, chu	o ku, Tokyo, Japan	103-0026	04 /08 /2013	MM DD YYYY / /		
Individual Name				CRD, NFA, and/or IARD N	umber (if any)		
Business Address (if applicable) (Street, City, State/Country, Zip + 4 Postal Code) Effective Date MM DD YYYY MM DD YYYY MM DD YYYY					-		
Briefly describe the nature of the settlement arrangement (ITEM side of this sheet for additional Mizuho Bank, Ltd acts a	13B); the natu comments if r	re of the control or agreeme ecessary.	ent (ITEM 14); or the meth	nod and amount of financi	ng (ITEM 15). Use reverse		
For ITEM 14 ONLY - If the control pro-		dual not presently registered thro	ough CRD or IARD, describe	prior investment-related experi	ience (e.g., for each prior position		
Section III Succe	essions						
Item 16: Is the applicant		of this filing succeeding	ng to the business o	of a currently registe	red SBS Entity?		
Date of Succession MM DD \	YYYY	Name of Predecessor					
SEC File, CRD, NFA, IARD, UIC, ar	nd/or CIK Numbe	r (if any)	IRS Employer Number (i	f any)			
Briefly describe details of the s comments if necessary.	succession incl	uding any assets or liabilities	s not assumed by the suc	cessor. Use reverse side	of this sheet for additional		
Section IV Prince	cipals Effe	cting or Involved in E	Effecting SBS Busi	iness			
Item 19: Does any princ and Schedule A involved be involved in effecting	d in effectin	g security-based swap	os on behalf of the a				
For each Principal ident	ified in Sec	tion IV, complete Sche	edule D of the Form	SBSE-A and the rea	levant DRP pages.		
1. Name of Principal			Type of Entity (Corp, Partners LLC, etc.)		D, NFA, IARD, CIK Number, UIC ux Identification Number		
Business Address (Street, 0	City, State/Count	ry, Zip + 4/Postal Code)		I			
This entity [] eff	fects []	is involved in effecting	g security based sv	vaps on behalf of the	e applicant. (check only		
Briefly describe the details of the applicant:	he principal's a	ctivities relating to its effecti	ng or involvement in effec	cting security-based swap	transactions on behalf of the		

Schedule B of F	FORM	Applicant Name: Mizu	ıho Capital Marke	ts LLC	Official Use	U	ficial Ise nly
SBSE-A Page 1		Date: 2/16/2022		FA No.: 0351572			ıny
Use this Schedule B to previously submitted detection This is an [] INITIAL	tails. Do no		bmitted information				
Section I Othe	r Business	3					
Item 11: Does applicant			es, financial service	s industry-related bu	usiness?		
UIC (if any), or other Uniqu	ie Identificati	on Number(s):	Assigning Regu	lator(s)/Entity(s):			
Briefly describe any other finan	ncial services ii	ndustry-related, non-securitie	es business in which the	applicant is engaged:			
Section II Reco	ord Mainter	nance Arrangements	/ Business Arrang	gements / Control F	Persons / Financings		
(Check one) [] Item	-	(] Item 13B [] It			tion in alcoding a pac		
Applicant must complete multiple responses to ar or agreement became e of the change.	ny item. Co	mplete the "Effective I	Date" box with the N	Month, Day and Yea	r that the arrangement		
Firm or Organization Name Mizuho Bank, Ltd				SEC File, CRD, NFA, IARE any)), UIC, and/or CIK Number (if		
Business Address (Street, City, State		•		Effective Date MM_DD_YYYY	Termination Date		
2-1 Nihombashi-kabuto	o-cho, chu	o ku, Tokyo, Japan	103-0026	04 /08 /2013	MM DD YYYY / /		
Individual Name				CRD, NFA, and/or IARD N	umber (if any)		
Business Address (if applicable) (Street, City, State/Country, Zip + 4 Postal Code) Effective Date MM DD YYYY MM DD YYYY MM DD YYYY					-		
Briefly describe the nature of the settlement arrangement (ITEM side of this sheet for additional Mizuho Bank, Ltd acts a	13B); the natu comments if r	re of the control or agreeme ecessary.	ent (ITEM 14); or the meth	nod and amount of financi	ng (ITEM 15). Use reverse		
For ITEM 14 ONLY - If the control pro-		dual not presently registered thro	ough CRD or IARD, describe	prior investment-related experi	ience (e.g., for each prior position		
Section III Succe	essions						
Item 16: Is the applicant		of this filing succeeding	ng to the business o	of a currently registe	red SBS Entity?		
Date of Succession MM DD \	YYYY	Name of Predecessor					
SEC File, CRD, NFA, IARD, UIC, ar	nd/or CIK Numbe	r (if any)	IRS Employer Number (i	f any)			
Briefly describe details of the s comments if necessary.	succession incl	uding any assets or liabilities	s not assumed by the suc	cessor. Use reverse side	of this sheet for additional		
Section IV Prince	cipals Effe	cting or Involved in E	Effecting SBS Busi	iness			
Item 19: Does any princ and Schedule A involved be involved in effecting	d in effectin	g security-based swap	os on behalf of the a				
For each Principal ident	ified in Sec	tion IV, complete Sche	edule D of the Form	SBSE-A and the rea	levant DRP pages.		
1. Name of Principal			Type of Entity (Corp, Partners LLC, etc.)		D, NFA, IARD, CIK Number, UIC ux Identification Number		
Business Address (Street, 0	City, State/Count	ry, Zip + 4/Postal Code)		I			
This entity [] eff	fects []	is involved in effecting	g security based sv	vaps on behalf of the	e applicant. (check only		
Briefly describe the details of the applicant:	he principal's a	ctivities relating to its effecti	ng or involvement in effec	cting security-based swap	transactions on behalf of the		

Schedule B of FORM	Applicant Name: Mizu	ho Capital Marke	ts LLC	Official Use	Official Use Only
SBSE-A Page 1	Date: 2/16/2022		FA No.: 0351572		
Use this Schedule B to report detail previously submitted details. Do no		bmitted information			
Section I Other Business		the Form SBSE-A	items checked belo		
Item 11: Does applicant engage in	any other non-securitie	es, financial service	s industry-related bu	usiness?	1
UIC (if any), or other Unique Identificati	on Number(s):	Assigning Regul	lator(s)/Entity(s):		
Briefly describe any other financial services in	ndustry-related, non-securitie	es business in which the a	applicant is engaged:		
Section II Record Maintel	nance Arrangements	/ Business Arrang	gements / Control F	Persons / Financings]
Applicant must complete a separat		or each affirmative	response in this sec		
multiple responses to any item. Co or agreement became effective. W of the change.					
Firm or Organization Name Morgan Stanley & Co LLC			CRD#8209/5	3EC#6-15869"	1
Business Address (Street, City, State/Country, Zip +	4 Postal Code)		Effective Date	Termination Date	1
One New York Plaza, 7	th Floor, NY, N	NY 10004	MM DD YYYY 02 /07/2018	MM DD YYYY / /	
Individual Name			CRD, NFA, and/or IARD N	umber (if any)	1
Business Address (if applicable) (Street, City, State/C	Effective Date MM DD YYYY / /	Termination Date MM DD YYYY / /			
Briefly describe the nature of the arrangemen settlement arrangement (ITEM 13B); the natu- side of this sheet for additional comments if nature of the standard stand	ure of the control or agreement necessary.	nt (ITEM 14); or the meth	nod and amount of financi	ng (ITEM 15). Use reverse	
For ITEM 14 ONLY - If the control person is an indiv - employer, job title, and dates of service).	idual not presently registered thro	ugh CRD or IARD, describe բ	prior investment-related experi	ence (e.g., for each prior position	
Section III Successions					
Item 16: Is the applicant at the time		ng to the business o	of a currently registe	red SBS Entity?	
Date of Succession MM DD YYYY / /	Name of Predecessor				
SEC File, CRD, NFA, IARD, UIC, and/or CIK Number	er (if any)	IRS Employer Number (if	any)		
Briefly describe details of the succession incl comments if necessary.	uding any assets or liabilities	not assumed by the suc	cessor. Use reverse side	of this sheet for additional	
Section IV Principals Effe	cting or Involved in E	ffecting SBS Busi	iness		
Item 19: Does any principal not ide and Schedule A involved in effectir be involved in effecting such busine	ng security-based swap	os on behalf of the a	or is any principal no applicant, or will suc	t identified in Item 15 n principals effect or	
For each Principal identified in Sec	tion IV, complete Sche	edule D of the Form	SBSE-A and the re	levant DRP pages.	
1. Name of Principal		Type of Entity (Corp, Partners LC, etc.)		D, NFA, IARD, CIK Number, UIC x Identification Number	
Business Address (Street, City, State/Count	ry, Zip + 4/Postal Code)		l .		
This entity [] effects []	is involved in effecting	g security based sv	vaps on behalf of the	e applicant. (check only	
Briefly describe the details of the principal's a applicant:	activities relating to its effecting	ng or involvement in effec	ting security-based swap	transactions on behalf of the	

Schedule B of FOR	M	Applicant Name: Mizuho Capital Markets LLC			Official Use		Official Use Only	
SBSE-A Page 1		Date: 2/16/2022		NFA No.: 0351572			y	
Use this Schedule B to report details for items listed below. Report only new information or changes/updates to previously submitted details. Do not repeat previously submitted information. This is an [] INITIAL [X] AMENDED detail filing for the Form SBSE-A items checked below:								
Section I Other Business								
Item 11: Does applicant enga	any other non-securit	ies, financial servic	, financial services industry-related business?					
UIC (if any), or other Unique Identification Number(s):			Assigning Reg	Assigning Regulator(s)/Entity(s):				
Briefly describe any other <i>financial services industry-related</i> , non-securities business in which the applicant is engaged:								
Section II Record Maintenance Arrangements / Business Arrangements / Control Persons / Financings								
(Check one) [] Item 13A [] Item 13B [X] Item 14 [] Item 15 Applicant must complete a separate Schedule B Page 1 for each affirmative response in this section including any multiple responses to any item. Complete the "Effective Date" box with the Month, Day and Year that the arrangement or agreement became effective. When reporting a change or termination of an arrangement, enter the effective date of the change.								
Firm or Organization Name Mizuho Securities USA LLC				SEC File, CRD, NFA, IARD, UIC, and/or CIK Number (if any) CRD# 19647/SEC#: 8-37710				
Business Address (Street, City, State/Countrell 1271 Avenue of the An	,	IY 10020	Effective Date MM DD YYYY	Termination Date MM DD YYYY				
Individual Name				09 / 30/ 2017 CRD, NFA, and/or IARD N	umber (if any)			
Business Address (if applicable) (Street, City, State/Country, Zip + 4 Postal Code) Effective Date Termination Date						-		
			MM DD YYYY / /	MM DD YYYY / /				
Briefly describe the nature of the arrangement with respect to books or records (ITEM 13A); the nature of the execution, trading, custody, clearing or settlement arrangement (ITEM 13B); the nature of the control or agreement (ITEM 14); or the method and amount of financing (ITEM 15). Use reverse side of this sheet for additional comments if necessary. Mizuho Securities USA LLC is the manager of Mizuho Capital Markets LLC.								
For ITEM 14 ONLY - If the control person is an individual not presently registered through CRD or IARD, describe prior <i>investment-related</i> experience (e.g., for each prior position - employer, job title, and dates of service).								
Section III Successions								
Item 16: Is the applicant at the time of this filing succeeding to the business of a currently registered SBS Entity?								
Date of Succession MM DD YYYY	/YY Name of Predecessor							
SEC File, CRD, NFA, IARD, UIC, and/or CII	r (if any)	IRS Employer Number	IRS Employer Number (if any)					
Briefly describe details of the succession including any assets or liabilities not assumed by the successor. Use reverse side of this sheet for additional comments if necessary.								
Section IV Principals Effecting or Involved in Effecting SBS Business								
Item 19: Does any principal not identified in Item 18 and Schedule A effect, or is any principal not identified in Item 15 and Schedule A involved in effecting security-based swaps on behalf of the applicant, or will such principals effect or be involved in effecting such business on the applicant's behalf?								
For each Principal identified in Section IV, complete Schedule D of the Form SBSE-A and the relevant DRP pages.								
			Type of Entity (Corp, Partne LLC, etc.)		D, NFA, IARD, CIK Number, UIC ax Identification Number			
Business Address (Street, City, State/Country, Zip + 4/Postal Code)							Г	
This entity [] effects [] is involved in effecting security based swaps on behalf of the applicant. (check only one)								
Briefly describe the details of the principal's activities relating to its effecting or involvement in effecting security-based swap transactions on behalf of the applicant:								