

ROCKETTRADER, INC.

FINANCIAL STATEMENTS

As of December 31, 2020

(unaudited)

# JOSEPH M. BENNIE, CPA PC

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## INDEPENDENT ACCOUNTANT'S REVIEW REPORT

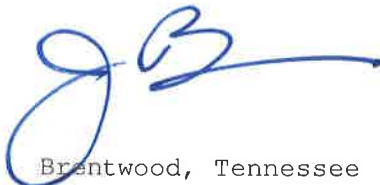
To the Stockholder  
RocketTrader, Inc.  
Rocklin, California

I have reviewed the accompanying financial statements of RocketTrader, Inc. (a Delaware corporation) which comprise the balance sheet as of December 31, 2020 and the related statements of income, shareholder's equity (deficit) and cash flows for the nine months then ended, and the related notes to the financial statements. A review includes primarily applying analytical procedures to management's financial data and making inquiries of Company management. A review is substantially less in scope than an audit, the objective of which is the expression of an opinion regarding the financial statement as a whole. Accordingly, I do not express such an opinion.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statement.

My responsibility is to conduct the review in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. Those standards require me to perform procedures to obtain limited assurance as a basis for reporting whether I am aware of any material modifications that should be made to the financial statements for them to be in accordance with accounting principles generally accepted in the United States of America. I believe that the results of my procedures provide a reasonable basis for my conclusion.

Based upon my review, I am not aware of any material modifications that should be made to the accompanying financial statements in order for them to be in conformity with accounting principles generally accepted in the United States of America.



Brentwood, Tennessee  
June 9, 2021

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RocketTrader, Inc.  
Balance Sheet  
December 31, 2020  
Unaudited

**Assets**

Current Assets

Checking	\$ 3,000
	<u>-----</u>
Total Assets	\$ 3,000
	<u>=====</u>

**Liabilities and Stockholders' Equity**

Stockholder's Equity

Common Stock, \$0 par value, 10,000,000 shares authorized	\$ -0-
Additional Paid in Capital	13,296
Retained Earnings (Deficit)	(10,296)
	<u>-----</u>
Total equity	3,000
	<u>-----</u>
Total Liabilities and Stockholder's Equity	\$ 3,000
	<u>=====</u>

See accompanying notes and independent accountant's report.

RocketTrader, Inc.  
Statement of Income  
For the nine months ended December 31, 2020  
Unaudited

Revenues	\$ -0-
Expenses	
Advertising/Marketing	6,913
Legal/Professional	2,588
Taxes/Licenses	491
Lease Expense	208
Office Supplies	96
	<u>-----</u>
Total Expenses	10,296
	<u>-----</u>
Net Operating Loss	(10,296)
Retained Earnings - Beginning of Period	-0-
	<u>-----</u>
Retained Earnings (Deficit) - End of Period	\$ (10,296)
	<u>=====</u>

See accompanying notes and independent accountant's report.

RocketTrader, Inc.  
 Statement of Shareholders Equity (Deficit)  
 For the nine months ended December 31, 2020  
 Unaudited

	Common Stock	APIC	Retained Earnings (deficit)
Balance - April 1, 2020	\$ -0-	-0-	-0-
Capital contribution	-0-	13,296	-0-
Net operating loss	-0-	-0-	(10,296)
	<u>-0-</u>	<u>-0-</u>	<u>(10,296)</u>
Balance - December 31, 2020	<u>-0-</u>	<u>\$13,296</u>	<u>\$(10,296)</u>

See accompanying notes and independent accountant's report.

RocketTrader, Inc.  
Statement of Cash Flows  
For the nine months ended December 31, 2020  
Unaudited

Net Operating Loss	\$ (10,296)
	<u>-----</u>
Net cash used in Operating Activities	(10,296)
 Cash from Financing Activities	
Stockholder's investment	13,296
	<u>-----</u>
Net Cash provided by Financing Activities	13,296
	<u>-----</u>
Net Increase in Cash	3,000
Cash at Beginning of Period	-0-
	<u>-----</u>
Cash at End of Period	\$ 3,000
	<u>=====</u>

See accompanying notes and independent accountant's report.

**RocketTrader, Inc.**  
**Notes to Financial Statements**  
**December 31, 2020**

**Note 1. Summary of Accounting Policies**

A summary of significant accounting policies is presented to assist in understanding the company's financial statements. These accounting policies conform to generally accepted accounting principles and have been consistently applied in the preparation of the financial statements.

**A. Basis of Accounting**

Revenue and expenses are reported on the accrual basis, which means that income is recognized as it is earned and expenses are recognized as they are incurred whether or not payment is received or amounts disbursed.

**B. Depreciation/Amortization**

The company's fixed assets (if any) will be depreciated over the estimated useful lives of the assets as estimated by management.

**C. Income Taxes**

The company is a regular C corporation for tax purposes. No provision has been included on this balance sheet for federal and state income taxes as any taxes due are considered immaterial.

**D. Cash and Cash Equivalents**

For purposes of the statement of cash flows, cash includes amounts on hand, on deposit at financial institutions, and liquid investments with a maturity of three months or less. The company occasionally will have amounts on deposit at financial institutions that exceed the \$250,000 FDIC insurance limit. The company believes there is no significant risk with respect to these deposits.

**E. Comprehensive income**

Statement of Financial Accounting Standards No. 130, Reporting Comprehensive Income, requires the reporting of comprehensive income in addition to net income from operations. Comprehensive income is a more inclusive financial reporting methodology that includes disclosure of certain financial information that historically has not been recognized in the calculation of net income. The company has no comprehensive income.

**F. Inventory**

The company currently has no inventory.



**RocketTrader, Inc.**  
**Notes to Financial Statements**  
**December 31, 2020**

**Note 1. Summary of Accounting Policies**

G. Estimates

Management might use estimates and assumptions in preparing this financial statement in accordance with generally accepted accounting principles. Those estimates and assumptions affect the reported amounts of assets and liabilities and the disclosure of any contingent assets and liabilities. Actual results could vary from the estimates that were used.

**Note 2. Nature of Operations**

RocketTrader, Inc. is a Registered Investment Advisor that will use automatic trading models to trade securities within a client account. RocketTrader, Inc. will operate under the D/B/A of Quants Compete. Clients are billed a flat rate of \$3.99/month until reaching a \$5,000 account balance threshold. After exceeding this threshold, clients are billed a monthly management fee of .95% of assets.

ROCKETTRADER, INC.

FINANCIAL STATEMENTS

As of June 30, 2021

(unaudited)

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## INDEPENDENT ACCOUNTANT'S REVIEW REPORT

To the Stockholder  
RocketTrader, Inc.  
Rocklin, California

I have reviewed the accompanying financial statements of RocketTrader, Inc. (a Delaware corporation) which comprise the balance sheet as of June 30, 2021 and the related statements of income, shareholders equity (deficit) and cash flows for the six months then ended, and the related notes to the financial statements. A review includes primarily applying analytical procedures to management's financial data and making inquiries of Company management. A review is substantially less in scope than an audit, the objective of which is the expression of an opinion regarding the financial statement as a whole. Accordingly, I do not express such an opinion.

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Based upon my review, I am not aware of any material modifications that should be made to the accompanying financial statements in order for them to be in conformity with accounting principles generally accepted in the United States of America.



Brentwood, Tennessee  
July 16, 2021

RocketTrader, Inc.  
Balance Sheet  
June 30, 2021  
Unaudited

**Assets**

Current Assets

Bank accounts	\$ 12,250
	<u>-----</u>
Total Assets	\$ 12,250
	<u>=====</u>

**Liabilities and Stockholders' Equity**

Current Liabilities

Accounts payable	\$ 23,000
	<u>-----</u>
	23,000

Stockholder's Equity

Common Stock, \$0 par value, 10,000,000 shares authorized	-0-
Additional Paid in Capital	68,849
Retained Earnings (Deficit)	(79,599)
	<u>-----</u>
Total equity	(10,750)
	<u>-----</u>
Total Liabilities and Stockholder's Equity	\$ 12,250
	<u>=====</u>

See accompanying notes and independent accountant's report.

RocketTrader, Inc.  
Statement of Income  
For the six months ended June 30, 2021  
Unaudited

Revenues	\$ -0-
Expenses	
Advertising/Marketing	15,966
Legal/Professional	24,525
Contractors	28,350
Office Expense	462
	-----
Total Expenses	69,303
	-----
Net Operating Loss	\$ (69,303)
	=====

See accompanying notes and independent accountant's report.

RocketTrader, Inc.  
Statement of Shareholders Equity (Deficit)  
For the six months ended June 30, 2021  
Unaudited

	Common Stock	APIC	Retained Earnings (deficit)
Balance - December 31, 2020	\$ -0-	13,296	(10,296)
Capital contribution	-0-	55,553	-0-
Net operating loss	-0-	-0-	(69,303)
	<u>-0-</u>	<u>-0-</u>	<u>(69,303)</u>
Balance - June 30, 2021	<u>-0-</u>	<u>\$68,849</u>	<u>\$ (79,599)</u>

See accompanying notes and independent accountant's report.

RocketTrader, Inc.  
Statement of Cash Flows  
For the six months ended June 30, 2021  
Unaudited

Cash from Operating Activities

Net Operating Loss \$ (69,303)

Increase in Accounts payable 23,000

Net cash used in Operating Activities (46,303)

Cash from Financing Activities

Stockholder's investment (APIC) 55,553

Net Cash provided by Financing Activities 55,553

Net Increase in Cash 9,250

Cash at Beginning of Period 3,000

Cash at End of Period \$ 12,250

See accompanying notes and independent accountant's report.



**RocketTrader, Inc.**  
**Notes to Financial Statements**  
**June 30, 2021**

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F. Inventory

The company currently has no inventory.

G. Accounts payable

Accounts payable consists of amounts due to programmers for App development. Company has plans to satisfy payables within 30 days.

**RocketTrader, Inc.**  
**Notes to Financial Statements**  
**June 30, 2021**

**Note 1. Summary of Accounting Policies**

H. Estimates

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