

Primary Business Name: BLUE OCEAN ATS**BD Number: 306512****BD - AMENDMENT****11/12/2021****BD - INDIRECT OWNERS**

Ownership Codes:	C - 25% but less than 50%	E - 75% or more
	D - 50% but less than 75%	F - Other General Partners

Full Legal Name	DE/FE/I	Entity in Which Interest is Owned	Status	Date Acquired	Own. Code	Control Person	PR CRD # (or SSN, IRS Tax #, Emp. ID)
BLUE OCEAN FINANCIAL TECHNOLOGY PTE. LTD.	FE	BLUE OCEAN TECHNOLOGIES, LLC	MEMBER	12/2016	C	Y	N FOREIGN
SHINNICK, GREGORY DANIEL	I	BLUE OCEAN FINANCIAL TECHNOLOGY PTE. LTD.	SHAREHOLDER	09/2015	C	Y	N 2421941
URBANA CORPORATION	FE	URBANA INTERNATIONAL INC.	SHAREHOLDER	04/2021	E	Y	Y FOREIGN
URBANA INTERNATIONAL INC.	DE	BLUE OCEAN TECHNOLOGIES LLC	MEMBER	06/2021	C	Y	N 38-4179744