

Primary Business Name: ONECHRONOS

BD Number: 286322

BD - AMENDMENT

02/29/2024

BD - DIRECT OWNERS/EXECUTIVE OFFICERS

Are there any indirect owners of the *applicant* required to be reported on Schedule B?

☒ Yes ☐ No

Ownership Codes: NA - less than 5%

B - 10% but less than 25% D - 50% but less than 75%

A - 5% but less than 10% C - 25% but less than 50% E - 75% or more

Full Legal Name	DE/FE/I	Title or Status	Date Acquired	Own. Code	Control Person	PR	CRD #(or S.S.No., IRS Tax #, Emp. ID)
DAN, BERNARD WILLIAM	I	CEO	12/2016	NA	Y	N	2421761
DORSEY, MICHAEL THOMAS	I	CHIEF COMPLIANCE OFFICER	03/2022	NA	N	N	2560077
DOYLE, KEVIN	I	DIRECTOR	12/2016	NA	N	N	xxx-xx-xxxx
HARPEL, JEFFREY KEITH	I	FINOP, PRINCIPAL FINANCIAL OFFICER, PRINCIPAL OPERATIONS OFFICER	04/2017	NA	N	N	1367784
JOHNSON, STEPHEN DAVID	I	DIRECTOR	12/2016	NA	Y	N	xxx-xx-xxxx
LITTLEPAGE, KELLY C	I	DIRECTOR	12/2016	NA	Y	N	xxx-xx-xxxx
OCX GROUP INC	DE	SOLE MEMBER	12/2016	E	Y	N	47-4149392
SUTH, RICHARD JR	I	DIRECTOR	03/2017	NA	N	N	2164733
TURTLE, WILLIAM RAYMOND	I	COO	01/2024	NA	N	N	6254805