

## Part I, Item 9—Identifying Information

**Request:** Attach as Exhibit 2, the most recently filed or amended Schedule B of Form BD for the Broker-Dealer Operator disclosing information related to indirect owners.

### Response:

#### Schedule B—Indirect Owners

Full Legal Name	CRD / Tax ID	Entity Type	StatusRole s	StatusDate Role Acquired	Entity in which interest is owned	Ownership %	Control Person	Public Reporting Company
Bank of America Corporation	56-0906609	Domestic Entity	Sole Shareholder	10/01/199810/1998	NB Holdings Corporation	75 or more	Y	Y
NB Holdings Corporation			Sole Shareholder	10/01/2008	BAC North America Holding Company	75 or more	Y	N
BAC North America Holding Company			Sole Shareholder	01/01/2015	Merrill Lynch, Pierce, Fenner & Smith Incorporated	75 or more	Y	N

<b>Summary report:</b> <b>Litera® Change-Pro for Word 10.5.0.0 Document comparison done on</b> <b>5/13/2019 12:52:08 PM</b>	
<b>Style name:</b> WH-Default Style	
<b>Intelligent Table Comparison:</b> Active	
<b>Original DMS:</b> iw://imanageworkus.wilmerhale.com/ActiveUS/173720604/1	
<b>Modified DMS:</b> iw://imanageworkus.wilmerhale.com/ActiveUS/173720670/1	
<b>Changes:</b>	
Add	3
Delete	3
Move From	0
Move To	0
Table Insert	4
Table Delete	4
Table moves to	0
Table moves from	0
Embedded Graphics (Visio, ChemDraw, Images etc.)	0
Embedded Excel	0
Format changes	0
<b>Total Changes:</b>	14