

**Fitch Ratings, Inc.**

**2016 Form NRSRO Annual Certification**

**Exhibit 9. Chief Compliance Officer**

Jeffrey T. Horvath is the Chief Compliance Officer of Fitch Ratings, Inc. Mr. Horvath is employed full time by Fitch Ratings, Inc.

Employment History:

- *Fitch Ratings, Inc.*, New York, NY (November 2015 – Present).
- *Deutsche Bank AG*, New York, NY; London, United Kingdom and Berlin, Germany (2001 – 2015)
  - Managing Director, Global Head of Central Compliance (2011 – 2015) (Berlin & New York)
  - Managing Director, Americas Head of Markets & LatAm Compliance (2006 – 2011) (New York)
  - Director, Fixed Income Compliance (2003 – 2006) (London & New York)
  - Vice President, Fixed Income Compliance (2001 – 2003) (London)
- *Kelley Drye & Warren*, Stamford, CT & New York, NY
- *Akabas & Cohen*, New York, NY

Post secondary education:

- *Fordham University School of Law, Juris Doctor*
- *Lehigh University, BS in Finance (Minor in International Relations)*
- *Member of the State Bars of New York and Connecticut*