
LARISSA GAO MBA**SUMMARY**

Fifteen-year experience in compliance, accounting, and finance. Strong understanding of NRSRO regulatory compliance. Hands-on experience in NRSRO filing, compliance surveillance, and internal control enhancement.

EXPERIENCE**EGAN-JONES RATINGS COMPANY**

Interim Designated Compliance Officer
Compliance Officer

PA, US
10/2016 – Present
11/2015 – 10/2016

Lead or major contributor to NRSRO annual filing, annual SEC exam, response to the SEC exam finding and recommendations, compliance surveillance, regulatory applications, board meeting preparation, policies and procedures and internal control establishment and enhancement, and other compliance-related duties and responsibilities.

HOUGHTON INTERNATIONAL, Inc.

Global Senior Analyst, Financial Planning & Analysis

PA, US
2014

Forecast and budget analysis for executive management. Support audits. Maintenance of internal control and record retention.

UGI/AmeriGas PROPANE

Senior Accountant

PA, US
2007 – 2014

Consolidation, SOX 404, financial planning, and analysis, monthly board report and quarterly earnings, corporate financial statement preparation, 10-Q and 10-K SEC filings, and liaison for internal and external auditors.

Accountant

2006 – 2007

ELEANOR H. CHEN P.C.

Staff Accountant

PA, US
2005 – 2006

INDUSTRIAL AND COMMERCIAL BANK OF CHINA (ICBC)

Staff Accountant

Harbin, CHINA
2000 – 2002

EDUCATION**UNIVERSITY OF NORTH CAROLINA**

Master of Business Administration

NC, US
2005

HARBIN INSTITUTE OF TECHNOLOGY

Bachelor of Science in Accounting

Harbin, CHINA
2000