

**Form NRSRO Exhibit #9**  
**Certain information regarding the credit rating agency's designated compliance officer.**

**WILLIAM C. HORNE**

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**EMPLOYMENT HISTORY**

<b>EGAN-JONES RATINGS CO.</b> , Haverford, PA Designated Compliance Officer	<b>2015 - Present</b>
<b>CONSULTANT</b> , Alpharetta, GA	<b>2012 - 2015</b>
<b>CALDWELL &amp; ORKIN, INC.</b> , Norcross, GA Chief Compliance Officer (RIA), 1998 to 2012	<b>1996 - 2012</b>
<b>THE CALDWELL &amp; ORKIN FUNDS, INC.</b> Chief Compliance Officer (RIC), 2004 to 2012	
<b>SIVACO/NATIONAL WIRE GROUP</b> , Atlanta, GA Corporate Financial Analyst	<b>1994 - 1996</b>
<b>WIRE &amp; CABLE SPECIALTIES CORPORATION</b> , Norcross, GA Financial Consultant	<b>1992 - 1994</b>
<b>ATEX, INC.</b> , Boston, MA Operations Controller, U.S. Subsidiary	<b>1985 - 1992</b>
<b>KEYCOM ELECTRONIC PUBLISHING</b> , Schaumburg, IL Managing Editor - Videotex	<b>1981 - 1985</b>

**POST-SECONDARY EDUCATION**

<b>VANDERBILT UNIVERSITY</b> , Nashville, TN B.A. Psychology, minors in Russian Language and East Asian History.	<b>1980</b>
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**EMPLOYMENT STATUS WITH THE NRSRO**

Full-Time