

## *Exhibit 9*

DBRS Updated Form NRSRO – 2 September 2015

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### **Information about the Designated Compliance Officer of DBRS**

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#### **Name**

Guy Calo

#### **Employment History**

- **DBRS, Inc. (New York, NY)**  
Designated Compliance Officer of DBRS, August 2015 to present  
Vice President, Regional Compliance Officer for US, December 2013 – August 2015
- **Knight Capital Americas, LLC (Jersey City, NJ)**  
Regulatory Counsel/Vice President - Regulatory Inquiries, May 2011 – November 2013
- **Financial Industry Regulatory Authority (FINRA)(New York, NY)**  
Principal Examiner, September 2008 – May 2011  
Associate Principal Examiner, August 2007 – August 2008
- **Cerussi & Spring, PC (White Plains, NY)**  
Senior Litigation Attorney, June 2004 - August 2007
- **Stephen Jeffries & Associates (New York, NY)**  
Associate Attorney, December 2001 – December 2002
- **Labbate Balkan Colavita & Contini, LP (Garden City, NY)**  
Associate Litigation Attorney, September 2000 – November 2001

#### **Post Secondary Education**

**Fordham University School of Business**, M.B.A, May 2004  
**Villanova University School of Law**, J.D., June 2000  
**Long Island University**, B.A., May 1997

#### **Whether employed by DBRS full-time or part-time:**

Full Time