

EXHIBIT 9

Morningstar Credit Ratings, LLC

Form NRSRO

Compliance Officer

- The designated compliance officer for Morningstar Credit Ratings, LLC is **D. Scott Schilling**.
- Mr. Schilling is a full-time employee of Morningstar, Inc.
- Morningstar Credit Ratings, LLC is a wholly-owned subsidiary of Morningstar, Inc.
 - Morningstar, Inc. acquired Morningstar Credit Ratings, LLC (then known as Realpoint, LLC) in May, 2010.
- Mr. Schilling has previously served as Morningstar Credit Ratings, LLC designated compliance officer from November 2010 to March 2012 and March 2015 to June 2015.

Mr. Schilling's employment history and post-secondary educational background are presented below.

EMPLOYMENT HISTORY

Morningstar, Inc.

2000 – Present

Global Chief Compliance Officer

Lincoln Investment Management, Inc.

1994 to 2000

Senior Compliance Consultant

Lincoln National Life Insurance Company

1988 to 1994

Mutual Fund Accounting Manager

Lincoln National Corporation

1987 - 1988

Internal Auditor

EDUCATION

Purdue University (1983) – Bachelors of Science – General Management/Option Accounting

CERTIFICATION

Certified Securities Compliance Professional®

DESIGNATION

Claritas Investment Certificate – CFA Institute

SECURITIES LICENSES

Series 7	General Securities Representative
Series 24	General Securities Principal
Series 28	Introducing Broker/Dealer Financial and Operations Principal
Series 63	Uniform Securities Agent State Law Examination