

## **EXHIBIT 9**

### **Morningstar Credit Ratings, LLC**

Form NRSRO

Compliance Officer

The designated compliance officer for Morningstar Credit Ratings, LLC is Candace Cheng.

Ms. Cheng is a full-time employee of Morningstar, Inc. Morningstar Credit Ratings, LLC is a wholly-owned subsidiary of Morningstar, Inc.

Morningstar, Inc. acquired Morningstar Credit Ratings, LLC (then known as Realpoint, LLC) in May, 2010.

Ms. Cheng's employment history and post-secondary educational background are presented below.

### **EMPLOYMENT HISTORY**

#### **Morningstar Credit Ratings, LLC**

July 2018 – Present

New York, New York

Chief Compliance Officer

#### **Morningstar Credit Ratings, LLC**

June 2015 – July 2018

New York, New York

Senior Director, Risk Management and Compliance

#### **S&P Global**

June 2005 - May 2015

New York, New York

Director, Internal Controls Group, Global Risk Management and Compliance

#### **The Vanguard Group of Investment Companies**

February 2000 - June 2005

Valley Forge, PA

Project Lead, Information Technology

### **EDUCATION**

Penn State University (2002) – Master of Business Administration, Finance Concentration

Rochester Institute of Technology (1999) – Bachelor of Science, Management Information Systems