

## **EXHIBIT 9**

### **Morningstar Credit Ratings, LLC**

Form NRSRO

Compliance Officer

The designated compliance officer for Morningstar Credit Ratings, LLC is **Michael Brawer**.

Mr. Brawer is a full-time employee of Morningstar, Inc. Morningstar Credit Ratings, LLC is a wholly-owned subsidiary of Morningstar, Inc.

Morningstar, Inc. acquired Morningstar Credit Ratings, LLC (then known as Realpoint, LLC) in May, 2010.

Mr. Brawer's employment history and post-secondary educational background are presented below.

### **EMPLOYMENT HISTORY**

#### **Morningstar Credit Ratings, LLC**

August 2016 – Present

New York, New York

Designated Compliance Officer

#### **S&P Global**

February 2008 - August 2016

New York, New York

Senior Director, Enterprise Risk Management and Compliance

#### **American International Group, Inc.**

February 2006 - February 2008

New York, New York

Director, Global Compliance

#### **Prudential Financial, Inc.**

April 2004 – February 2006

Newark, New Jersey

Director of Compliance, International Insurance

#### **AXA Advisors, LLC**

February 2000 - April 2004

Miami, Florida

Compliance Manager

#### **John Hancock Financial, Inc.**

April 1996 – February 2000

Miami, Florida

Compliance Specialist

### **EDUCATION**

University of Miami (2001) – Master of Business Administration, Finance Concentration

George Washington University (1993) – Bachelor of Arts, International Affairs Concentration