

**KROLL BOND RATING AGENCY, LLC**  
**Form NRSRO Annual Certification**

**EXHIBIT 9:**  
**Information Regarding  
Designated Compliance  
Officer**

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## Form NRSRO Exhibit 9

**Exhibit 9.** Provide in this Exhibit the following information about the designated compliance officer (identified in Item 4) of the Applicant/NRSRO: The Designated Compliance Officer for Kroll Bond Rating Agency, LLC is Mark Levin, who is employed by KBRA on a full-time basis.

Mr. Levin's employment history and educational background are presented below:

### **EMPLOYMENT HISTORY**

#### **Kroll Bond Rating Agency, LLC**

June 2022-present, Chief Compliance Officer / Designated Compliance Officer

#### **S&P Global Ratings**

June 2016 – June 2022, Compliance Policy Officer

#### **Ambac Assurance Corp.**

September 2008 – August 2015, First Vice President, Remediation and Portfolio Risk Management

#### **Citigroup Global Real Estate Corp.**

December 2006 – January 2008, Consultant, Commercial Mortgage-Backed Finance

#### **Hunton Andrews Kurth LLP (formerly known as Hunton & Williams LLP)**

July 2006 – December 2006, Associate, Energy and Project Finance

#### **S&P Global Ratings (formerly Standard & Poor's Ratings Services)**

September 2003 – May 2006, Associate, Structured Finance

### **EDUCATION**

#### **Syracuse University College of Law**

Juris Doctorate, May 2003, admitted in NY

#### **Syracuse University Maxwell School of Citizenship and Public Affairs**

Master of Public Administration, May 2003

#### **Hobart and William Smith Colleges**

Bachelor of Arts, Political Science and International Relations, May 2000