

Exhibit 9. Certain information regarding the credit rating agency's designated compliance officer.

☒ Exhibit 9 is attached to and made a part of this Form NRSRO

Name: Patrick R. Nicholson

Title: Chief Compliance Officer, S&P Global Ratings

Employment history:

S&P Global Inc., New York, NY

Chief Compliance Officer – S&P Global Ratings (formerly Standard & Poor's Ratings Services), December 2012-Present
Chief Compliance Officer – S&P Capital IQ/S&P Dow Jones Indices, 2010-June 16, 2015

Jefferies & Company, Inc., New York NY 2006-2010

Senior Vice President, Chief Compliance Officer – Jefferies High Yield Trading, LLC

Dresdner Kleinwort Wasserstein, New York, NY 2005-2006

Director, Compliance

UBS Financial Services, Inc., New York, NY 2003-2005

Associate Director, Compliance

Bear Stearns, New York, NY, 1999-2003

Associate Director, Institutional Fixed Income Sales Division, (2001-2003)
Associate Director, Compliance (1999-2001)

Euro Brokers, a division of Maxcor Financial, Inc., New York, NY 1998-1999

Chief Compliance Officer

NASD Regulation, Inc., New York, NY 1996-1998

Associate Examiner

Donaldson, Lufkin & Jenrette U.K. PLC, London, England 1994-1996

Donaldson, Lufkin & Jenrette Securities Corporation, New York, NY
Equity Derivatives Support Team

British Populations and Statistics Institute, London, England 1993

Post-secondary Education:

New York Law School, New York, NY, 2008

Juris Doctorate, admitted in NY

Bernard M. Baruch College, New York, NY, 1992

Bachelor of Business Administration – Finance

Whether employed by credit rating agency full-time (at least 35 hours per week) or part-time.

Full-time employee.