

TRUMID FINANCIAL LLC

Statement of Financial Condition

December 31, 2015 (in thousands)

Assets

Cash	\$	11,229
Receivable from intermediation agent		25
Furniture, equipment and capitalized software, net of accumulated depreciation and amortization of \$632		1,252
Prepaid expenses and other assets		95
Total assets	\$	12,601

Liabilities and members' equity

Liabilities

Accrued employee compensation	\$	249
Accounts payable, accrued expenses and other liabilities		330
Total liabilities		579

Commitment and Contingencies (Note 8)

Members' equity

Members' equity		12,022
Total members' equity		12,022
Total liabilities and members' equity	\$	12,601

The accompanying notes are an integral part of these financial statements