

Primary Business Name: LUMINEX TRADING & ANALYTICS LLC**BD Number: 171752****BD - AMENDMENT****01/24/2022****BD - DIRECT OWNERS/EXECUTIVE OFFICERS**Are there any indirect owners of the *applicant* required to be reported on Schedule B?☒ Yes ☐ No**Ownership Codes: NA - less than 5%****B - 10% but less than 25%****D - 50% but less than 75%****A - 5% but less than 10%****C - 25% but less than 50%****E - 75% or more**

Full Legal Name	DE/FE/I	Title or Status	Date Acquired	Own. Code	Control Person	PR	CRD # (or S.S.No., IRS Tax #, Emp. ID)
BILODEAU, IRENE MARIE	I	FINOP, POO, PFO	03/2021	NA	N	N	6844916
DOLAN, JAMES CHRISTOPHER	I	CHIEF COMPLIANCE OFFICER	09/2015	NA	Y	N	3219968
DOLAN, JAMES CHRISTOPHER	I	CHIEF LEGAL OFFICER	10/2015	NA	Y	N	3219968
DOLAN, JAMES CHRISTOPHER	I	CHIEF EXECUTIVE OFFICER	01/2022	NA	Y	N	3219968
EVARTS, NATHANIEL NELSON	I	DIRECTOR	02/2019	NA	N	N	2460453
FARLEY, BRENDA	I	DIRECTOR	07/2019	NA	N	N	7146025
FMR SAKURA HOLDINGS, INC.	DE	OWNER	03/2018	D	Y	N	82-4664912
GEYER, WILLIAM SENG	I	DIRECTOR	10/2019	NA	N	N	2250035
KINAK, MEHMET SINAN	I	DIRECTOR	12/2019	NA	N	N	7208386
LANE, DAVID KENNETH	I	DIRECTOR	11/2018	NA	N	N	2516957
PETROVICH, BOJAN	I	DIRECTOR	05/2021	NA	N	N	4759932
SKOKO, DRAGAN	I	DIRECTOR	06/2018	NA	N	N	4394961
STUTSMAN, PETER DUARD	I	DIRECTOR	09/2018	NA	N	N	2986277
WHITEHEAD, PAUL NOAH	I	DIRECTOR	04/2015	NA	N	N	4336636