

Owners and Executive Officers

☐ Direct Owners & Officers

☐ Indirect Owners

The owners/officers listed below are reported on your firm's Form BD. The displayed information is current as of the previous day. If it is no longer accurate, your firm should amend your Form BD through Web CRD. [Click here to amend Form BD](#)

Full Legal Name	Title or Status	Title or Status Acquired	Percent Ownership	Control Person	Public Reporting Company
Individual					
<u>WHITEHEAD, PAUL NOAH</u>	DIRECTOR	04/01/2015	Less than 5%	No	No
<u>CLARK, JONATHAN ANDREW</u>	PRESIDENT	08/01/2015	Less than 5%	Yes	No
<u>CLARK, JONATHAN ANDREW</u>	CEO AND DIRECTOR	09/01/2015	Less than 5%	Yes	No
<u>DOLAN, JAMES CHRISTOPHER</u>	CHIEF COMPLIANCE OFFICER	09/01/2015	Less than 5%	Yes	No
<u>DOLAN, JAMES CHRISTOPHER</u>	CHIEF LEGAL OFFICER	10/01/2015	Less than 5%	Yes	No
<u>SKOKO, DRAGAN</u>	DIRECTOR	06/01/2018	Less than 5%	No	No
<u>STUTSMAN, PETER DUARD</u>	DIRECTOR	09/01/2018	Less than 5%	No	No
<u>LANE, DAVID KENNETH</u>	DIRECTOR	11/01/2018	Less than 5%	No	No
<u>EVARTS, NATHANIEL NELSON</u>	DIRECTOR	02/01/2019	Less than 5%	No	No
<u>FARLEY, BRENDA</u>	DIRECTOR	07/01/2019	Less than 5%	No	No
<u>GEYER, WILLIAM SENG</u>	DIRECTOR	10/01/2019	Less than 5%	No	No
<u>KINAK, MEHMET SINAN</u>	DIRECTOR	12/01/2019	Less than 5%	No	No
<u>BILODEAU, IRENE MARIE</u>	FINOP, POO, PFO	03/01/2021	Less than 5%	No	No
<u>PETROVICH, BOJAN</u>	DIRECTOR	05/01/2021	Less than 5%	No	No
Domestic Entity					
FMR SAKURA HOLDINGS, INC.	OWNER	03/01/2018	50% but less than 75%	Yes	No
Foreign Entity					
No Information Available.					