



## Entity Profile Information

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Viewed on October 18, 2022

NFA ID 0443649 HSBC BANK USA NA

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### Current Status Information

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Branch ID	Status	Effective Date
	<b>ALSO SEE NFA ID 0434534</b>	
	NFA MEMBER APPROVED	05/15/2013
	SWAP DEALER PROVISIONALLY REGISTERED	12/31/2012

### Status History Information

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Status	Effective Date
<b>ALSO SEE NFA ID 0434534</b>	
NFA MEMBER APPROVED	05/15/2013
SWAP DEALER PROVISIONALLY REGISTERED	12/31/2012
NFA MEMBER PENDING	12/18/2012
SWAP DEALER PENDING	12/18/2012

### Outstanding Requirements

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Annual Due Date: 6/1/2023

4S SUBMISSIONS IN REVIEW

### Disciplined Employee Summary

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