

Item 13A - Brief description

Bloomberg – Bloomberg Vault

Organization Name: Bloomberg Finance L.P.

Effective date: 23 June 2014

Address:

BLOOMBERG FINANCE L.P.

731 Lexington Avenue

New York, NY 10022

Short description:

Bloomberg Vault is used for storing and surveillance of communication data such as email and chat. The system is maintained by Bloomberg and SEB is entering and accessing data through system GUI as well as interfaces.

IHS Markit - SwapOne

Organization Name: Markit Group Limited

Effective date: 20 May 2021

Address:

4th floor, Ropemaker Place

25 Ropemaker Street

London EC2Y 9LY

United Kingdom

Short description:

SwapOne is used for position keeping and life cycle management of equity swaps. The system is maintained by IHS Markit and SEB is entering and accessing data through system GUI as well as interfaces.

IHS Markit – Markit ISDA Amend

Organization Name: Markit Group Limited

Effective date: 12 December 2012

Address:

4th floor, Ropemaker Place

25 Ropemaker Street

London EC2Y 9LY

United Kingdom

Short description:

Markit ISDA Amend is used for making and storing regulatory elections, declarations and securely permission information to trading counterparties via ISDA protocols. The system is maintained by IHS Markit and SEB is entering and accessing data through system GUI.

Item 13B - Brief description

Organization Name: JP Morgan AG, Frankfurt

Effective date: 23 October 2020

Address:

Taunustor 1

60310 Frankfurt am Main

Germany

Short description:

JP Morgan AG, Frankfurt is the Clearing Member for CDS trades cleared at LCH CDS Clear, which SEB uses for clearing single-name and iTraxx CDS at that CCP.

Organization Name: BNP Paribas Securities Services London

Effective date: 1st February 2005

Address:

10 Harewood Avenue

London NW1 6AA

United Kingdom

Short description:

The service arrangement includes custody, settlement and services regarding tax and corporate events.