

BROADHAVEN SECURITIES, LLC
STATEMENT OF FINANCIAL CONDITION
DECEMBER 31, 2016

ASSETS

| | |
|---------------------------|--------------------------------|
| Cash and cash equivalents | \$ 4,152,395 |
| Prepaid expenses | 33,376 |
| Deposits | <u>3,526</u> |
| Total assets | <u><u>\$ 4,189,297</u></u> |

LIABILITIES AND MEMBER'S EQUITY

| | |
|---|--------------------------------|
| Due to Parent | \$ 1,692,648 |
| Accounts payable | <u>113,701</u> |
| Total liabilities | <u>\$ 1,806,349</u> |
| MEMBER'S EQUITY | <u>\$ 2,382,948</u> |
| Total liabilities and member's equity | <u><u>\$ 4,189,297</u></u> |

(The accompanying notes to financial statements are an integral part of these statements)