

**PEAKSTONE SECURITIES, LLC**  
**STATEMENT OF OPERATIONS**  
**YEAR ENDED DECEMBER 31, 2015**

REVENUE

Investment banking	\$ 1,358,000
Other revenue	9,485

Total Revenue	<u>1,367,485</u>
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EXPENSES

Employee compensation and benefits	810,000
Professional fees	52,823
Licenses and registration	16,238
Occupancy	11,400
Communications and data processing	5,018
Other expenses	<u>4,411</u>

Total Expenses	<u>899,890</u>
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NET INCOME	<u><u>\$ 467,595</u></u>
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See Accompanying Notes