

SIPC-7

(36-REV 12/18)

SECURITIES INVESTOR PROTECTION CORPORATION

Mail Code: 8967 P.O. Box 7247 Philadelphia, PA 19170-0001

General Assessment Reconciliation**SIPC-7**

(36-REV 12/18)

For the fiscal year ended December 31, 2021

(Read carefully the instructions in your Working Copy before completing this Form)

TO BE FILED BY ALL SIPC MEMBERS WITH FISCAL YEAR ENDINGS

1. Name of Member, address, Designated Examining Authority, 1934 Act registration no. and month in which fiscal year ends for purposes of the audit requirement of SEC Rule 17a-5:

068689 FINRA DEC\CARR, RIGGS, & INGRAM CAPITAL ADVISORS, LLC
7035 HALCYON PARK DRIVE
MONTGOMERY, AL 36117

Note: If any of the information shown on the mailing label requires correction, please e-mail any corrections to form@sipc.org and so indicate on the form filed.

Name and telephone number of person to contact respecting this form.

JOEL SIKES (334)467-1092**WORKING COPY**

2. A. General Assessment (item 2e from page 2)

\$ 5,126

B. Less payment made with SIPC-6 filed (exclude interest)

(807)July 15, 2021

Date Paid

C. Less prior overpayment applied

()

D. Assessment balance due or (overpayment)

4,319

E. Interest computed on late payment (see instruction E) for _____ days at 20% per annum

F. Total assessment balance and interest due (or overpayment carried forward)

\$ 4,319G. PAYMENT: ☒ the boxCheck mailed to P.O. Box ☒Funds Wired ☐ACH ☐

Total (must be same as F above)

\$ 4,319

H. Overpayment carried forward

\$()

3. Subsidiaries (S) and predecessors (P) included in this form (give name and 1934 Act registration number):

The SIPC member submitting this form and the person by whom it is executed represent thereby that all information contained herein is true, correct and complete.

CARR, RIGGS & INGRAM CAPITAL ADVISORS, LLC

(Name of Corporation, Partnership or other organization)

Joel Sikes

(Authorized Signature)

Principal

(Title)

Dated the 31st day of January, 20 22.

This form and the assessment payment is due 60 days after the end of the fiscal year. Retain the Working Copy of this form for a period of not less than 6 years, the latest 2 years in an easily accessible place.

SIPC REVIEWER

Dates:

Postmarked
Received
ReviewedCalculations Documentation Forward Copy

Exceptions:

Disposition of exceptions:

